



ANNUAL STATEMENT
FOR THE YEAR ENDING DECEMBER 31, 2020
OF THE CONDITION AND AFFAIRS OF THE

Upper Peninsula Health Plan, LLC

(Name)

NAIC Group Code 04734 (Current Period) , 04734 (Prior Period) NAIC Company Code 52615 Employer's ID Number 46-0927995

Organized under the Laws of Michigan , State of Domicile or Port of Entry Michigan

Country of Domicile United States

Licensed as business type: Life, Accident & Health [] Property/Casualty [] Hospital, Medical & Dental Service or Indemnity []
Dental Service Corporation [] Vision Service Corporation [] Health Maintenance Organization [X]
Other [] Is HMO, Federally Qualified? Yes [] No [X]

Incorporated/Organized 10/23/1997 Commenced Business 08/01/1998

Statutory Home Office 853 W. Washington St. (Street and Number) , Marquette, MI, US 49855 (City or Town, State, Country and Zip Code)

Main Administrative Office 853 W. Washington St. (Street and Number)
Marquette, MI, US 49855 (City or Town, State, Country and Zip Code) 906-225-7500 (Area Code) (Telephone Number)

Mail Address 853 W. Washington St. (Street and Number or P.O. Box) , Marquette, MI, US 49855 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 853 W. Washington St. (Street and Number)
Marquette, MI, US 49855 (City or Town, State, Country and Zip Code) 906-225-7500 (Area Code) (Telephone Number) (Extension)

Internet Web Site Address uphp.com

Statutory Statement Contact Leslie Ellen Luke (Name) , 906-227-5696 (Area Code) (Telephone Number) (Extension)
lluke@uphp.com (E-Mail Address) 906-225-8687 (Fax Number)

OFFICERS

Name	Title	Name	Title
Melissa Ann Holmquist	President	Leslie Ellen Luke	Treasurer
Johanna Marie Novak	Secretary	Melanie Lyn Bicigo	Chief Operating Officer

OTHER OFFICERS

DIRECTORS OR TRUSTEES

Michelle Marie Tavernier	David Barry Jahn	Margie Mae Hale	Donald Michael Pawelski
Robert Conrad Deese	Robert Thomas Crumb	Robert Vincent Vairo	Hunter Kyle Nostrant
Garfield Harold Atchison #			

State of Michigan

County of Marquette

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The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Melissa Ann Holmquist
President

Leslie Ellen Luke
Treasurer

Melanie Lyn Bicigo
Chief Operating Officer

Subscribed and sworn to before me this
25th day of February, 2021

- a. Is this an original filing? Yes [X] No []
b. If no:
1. State the amendment number
2. Date filed
3. Number of pages attached

Jennifer Schon, Human Resource Generalist
01/01/2026

ASSETS

	Current Year			Prior Year
	1	2	3	4
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	Net Admitted Assets
1. Bonds (Schedule D).....	17,063,118		17,063,118	37,660,852
2. Stocks (Schedule D):				
2.1 Preferred stocks	0		0	0
2.2 Common stocks	0		0	0
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$ encumbrances).....	10,944,134		10,944,134	11,319,300
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$8,837,360 , Schedule E-Part 1), cash equivalents (\$64,395,812 , Schedule E-Part 2) and short-term investments (\$5,126,046 , Schedule DA).....	78,359,218		78,359,218	43,863,067
6. Contract loans (including \$ premium notes).....			0	0
7. Derivatives (Schedule DB).....	0		0	0
8. Other invested assets (Schedule BA)	0		0	0
9. Receivables for securities	17,369		17,369	1,679
10. Securities lending reinvested collateral assets (Schedule DL).....			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	106,383,838	0	106,383,838	92,844,898
13. Title plants less \$ charged off (for Title insurers only).....			0	0
14. Investment income due and accrued	186,464		186,464	295,136
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	3,158,284	0	3,158,284	4,663,300
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums).....			0	0
15.3 Accrued retrospective premiums (\$275,243) and contracts subject to redetermination (\$)	275,243		275,243	484,036
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	0
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans	12,551,114		12,551,114	10,619,741
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset.....			0	0
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software.....	365,905	30,576	335,329	342,480
21. Furniture and equipment, including health care delivery assets (\$)	214,828	214,828	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	5,655		5,655	4,739
24. Health care (\$) and other amounts receivable.....	3,782,502	3,782,502	0	0
25. Aggregate write-ins for other-than-invested assets	8,541,891	799,645	7,742,246	7,800,213
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25).....	135,465,724	4,827,551	130,638,173	117,054,543
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	0
28. Total (Lines 26 and 27)	135,465,724	4,827,551	130,638,173	117,054,543
DETAILS OF WRITE-INS				
1101.			0	0
1102.			0	0
1103.			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. Prepays.....	710,674	710,674	0	0
2502. Vehicles.....	88,971	88,971	0	0
2503. IPA Tax receivable.....	7,742,246		7,742,246	7,800,213
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	8,541,891	799,645	7,742,246	7,800,213

LIABILITIES, CAPITAL AND SURPLUS

	Current Year			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ reinsurance ceded)	27,205,984		27,205,984	25,004,001
2. Accrued medical incentive pool and bonus amounts	3,089,484		3,089,484	0
3. Unpaid claims adjustment expenses	246,634		246,634	228,332
4. Aggregate health policy reserves, including the liability of \$ for medical loss ratio rebate per the Public Health Service Act	8,070,436		8,070,436	0
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserves			0	0
7. Aggregate health claim reserves			0	0
8. Premiums received in advance	7,533		7,533	6,396
9. General expenses due or accrued	9,310,092		9,310,092	9,499,502
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized capital gains (losses))			0	0
10.2 Net deferred tax liability			0	0
11. Ceded reinsurance premiums payable			0	0
12. Amounts withheld or retained for the account of others	2,277,841		2,277,841	1,122,852
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	1,047,052		1,047,052	1,038,879
16. Derivatives		0	0	0
17. Payable for securities			0	0
18. Payable for securities lending			0	0
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers)			0	0
20. Reinsurance in unauthorized and certified (\$) companies			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22. Liability for amounts held under uninsured plans	12,440,734		12,440,734	11,859,987
23. Aggregate write-ins for other liabilities (including \$ current)	0	0	0	0
24. Total liabilities (Lines 1 to 23)	63,695,790	0	63,695,790	48,759,949
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	3,941,340
26. Common capital stock	XXX	XXX		0
27. Preferred capital stock	XXX	XXX		0
28. Gross paid in and contributed surplus	XXX	XXX	3,427,727	3,427,727
29. Surplus notes	XXX	XXX		0
30. Aggregate write-ins for other-than-special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	63,514,656	60,925,526
32. Less treasury stock, at cost: 32.1 shares common (value included in Line 26 \$)	XXX	XXX		0
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	66,942,383	68,294,593
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	130,638,173	117,054,542
DETAILS OF WRITE-INS				
2301.			0	0
2302.			0	0
2303.			0	0
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	0	0	0	0
2501. ACA Tax	XXX	XXX		3,941,340
2502.	XXX	XXX		0
2503.	XXX	XXX		0
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX	0	3,941,340
3001.	XXX	XXX		0
3002.	XXX	XXX		0
3003.	XXX	XXX		0
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year		Prior Year
	1 Uncovered	2 Total	3 Total
1. Member Months.....	XXX	613,300	588,014
2. Net premium income (including \$0 non-health premium income).....	XXX	270,727,269	253,958,932
3. Change in unearned premium reserves and reserve for rate credits	XXX		0
4. Fee-for-service (net of \$ medical expenses)	XXX		0
5. Risk revenue	XXX		0
6. Aggregate write-ins for other health care related revenues	XXX	33,507,600	32,265,005
7. Aggregate write-ins for other non-health revenues	XXX	95,833	114,956
8. Total revenues (Lines 2 to 7)	XXX	304,330,702	286,338,893
Hospital and Medical:			
9. Hospital/medical benefits		138,780,033	135,713,008
10. Other professional services		22,569,132	22,608,605
11. Outside referrals			0
12. Emergency room and out-of-area		25,060,843	24,657,877
13. Prescription drugs		35,822,326	33,917,644
14. Aggregate write-ins for other hospital and medical.....0	0	471,006	235,179
15. Incentive pool, withhold adjustments and bonus amounts.....		10,254,173	632,057
16. Subtotal (Lines 9 to 15)	0	232,957,513	217,764,370
Less:			
17. Net reinsurance recoveries		300,948	419,998
18. Total hospital and medical (Lines 16 minus 17)	0	232,656,565	217,344,372
19. Non-health claims (net).....			0
20. Claims adjustment expenses, including \$3,645,754 cost containment expenses.....		6,071,018	5,241,076
21. General administrative expenses.....		47,262,385	44,518,809
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....0		0	0
23. Total underwriting deductions (Lines 18 through 22)	0	285,989,968	267,104,257
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	18,340,734	19,234,636
25. Net investment income earned (Exhibit of Net Investment Income, Line 17).....		1,255,089	1,872,541
26. Net realized capital gains (losses) less capital gains tax of \$643		643	1,162
27. Net investment gains (losses) (Lines 25 plus 26)	0	1,255,732	1,873,703
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)]		0	0
29. Aggregate write-ins for other income or expenses	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29).....	XXX	19,596,466	21,108,339
31. Federal and foreign income taxes incurred	XXX		0
32. Net income (loss) (Lines 30 minus 31)	XXX	19,596,466	21,108,339
DETAILS OF WRITE-INS			
0601. Miscellaneous Revenue.....	XXX	5,543	53,297
0602. IPA Tax Revenue.....	XXX	31,026,950	32,211,708
0603. ACA Tax Revenue.....	XXX	2,475,107	0
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX	33,507,600	32,265,005
0701. Gain/Loss on Asset Disposal.....	XXX	(388)	17,859
0702. Tiff Revenue.....	XXX	96,221	97,097
0703.	XXX		0
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above)	XXX	95,833	114,956
1401. UPHIE Expenses.....		471,006	235,179
1402.			0
1403.			0
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)	0	471,006	235,179
2901.			0
2902.			0
2903.			0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	0	0

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year	2 Prior Year
CAPITAL & SURPLUS ACCOUNT		
33. Capital and surplus prior reporting year	68,294,593	55,745,856
34. Net income or (loss) from Line 32	19,596,466	21,108,339
35. Change in valuation basis of aggregate policy and claim reserves		0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$		0
37. Change in net unrealized foreign exchange capital gain or (loss)		0
38. Change in net deferred income tax		0
39. Change in nonadmitted assets	51,324	(1,059,602)
40. Change in unauthorized and certified reinsurance	0	0
41. Change in treasury stock	0	0
42. Change in surplus notes	0	0
43. Cumulative effect of changes in accounting principles		0
44. Capital Changes:		
44.1 Paid in	0	0
44.2 Transferred from surplus (Stock Dividend)		0
44.3 Transferred to surplus		0
45. Surplus adjustments:		
45.1 Paid in	0	0
45.2 Transferred to capital (Stock Dividend)	0	0
45.3 Transferred from capital		0
46. Dividends to stockholders		0
47. Aggregate write-ins for gains or (losses) in surplus	(21,000,000)	(7,500,000)
48. Net change in capital and surplus (Lines 34 to 47)	(1,352,210)	12,548,737
49. Capital and surplus end of reporting year (Line 33 plus 48)	66,942,383	68,294,593
DETAILS OF WRITE-INS		
4701. Distribution of Equity.....	(21,000,000)	(7,500,000)
4702.		0
4703.		0
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	(21,000,000)	(7,500,000)

CASH FLOW

Cash from Operations	1	2
	Current Year	Prior Year
1. Premiums collected net of reinsurance	280,512,651	282,645,284
2. Net investment income	1,847,305	2,231,306
3. Miscellaneous income	33,603,433	32,379,961
4. Total (Lines 1 through 3)	315,963,389	317,256,551
5. Benefit and loss related payments	231,147,600	215,754,312
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts		0
7. Commissions, expenses paid and aggregate write-ins for deductions	54,855,137	67,119,997
8. Dividends paid to policyholders		0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	0	0
10. Total (Lines 5 through 9)	286,002,737	282,874,309
11. Net cash from operations (Line 4 minus Line 10)	29,960,652	34,382,242
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds	20,490,000	8,200,000
12.2 Stocks	0	0
12.3 Mortgage loans	0	0
12.4 Real estate	0	0
12.5 Other invested assets	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	170,748	544,929
12.7 Miscellaneous proceeds	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	20,660,748	8,744,929
13. Cost of investments acquired (long-term only):		
13.1 Bonds	0	24,284,574
13.2 Stocks	0	0
13.3 Mortgage loans	0	0
13.4 Real estate	0	0
13.5 Other invested assets	0	0
13.6 Miscellaneous applications	186,439	544,324
13.7 Total investments acquired (Lines 13.1 to 13.6)	186,439	24,828,898
14. Net increase (decrease) in contract loans and premium notes	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	20,474,309	(16,083,969)
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0
16.3 Borrowed funds	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0
16.5 Dividends to stockholders	0	0
16.6 Other cash provided (applied)	(15,938,811)	(14,738,903)
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	(15,938,811)	(14,738,903)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	34,496,150	3,559,370
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year	43,863,068	40,303,698
19.2 End of year (Line 18 plus Line 19.1)	78,359,218	43,863,068

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE Upper Peninsula Health Plan, LLC

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
1. Net premium income	270,727,269	0	0	0	0	0	116,377,487	154,349,782	0	0
2. Change in unearned premium reserves and reserve for rate credit	0									
3. Fee-for-service (net of \$ medical expenses)	0									XXX
4. Risk revenue.....	0									XXX
5. Aggregate write-ins for other health care related revenues.....	33,507,600	0	0	0	0	0	2,805,319	30,702,281	0	XXX
6. Aggregate write-ins for other non-health care related revenues.....	95,833	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	95,833
7. Total revenues (Lines 1 to 6).....	304,330,702	0	0	0	0	0	119,182,806	185,052,063	0	95,833
8. Hospital/medical benefits	138,780,034						77,841,588	60,938,446		XXX
9. Other professional services	22,569,132						10,986,421	11,582,711		XXX
10. Outside referrals	0									XXX
11. Emergency room and out-of-area	25,060,843						7,063,853	17,996,990		XXX
12. Prescription drugs	35,822,325						5,185,904	30,636,421		XXX
13. Aggregate write-ins for other hospital and medical.....	471,006	0	0	0	0	0	46,340	424,666	0	XXX
14. Incentive pool, withhold adjustments and bonus amounts.....	10,254,173						7,845	10,246,328		XXX
15. Subtotal (Lines 8 to 14)	232,957,513	0	0	0	0	0	101,131,951	131,825,562	0	XXX
16. Net reinsurance recoveries	300,948						72,033	228,915		XXX
17. Total hospital and medical (Lines 15 minus 16)	232,656,565	0	0	0	0	0	101,059,918	131,596,647	0	XXX
18. Non-health claims (net)	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
19. Claims adjustment expenses including \$3,645,754 cost containment expenses.....	6,071,018						2,512,432	3,558,586		
20. General administrative expenses	47,262,385						9,613,483	37,815,902	(167,000)	
21. Increase in reserves for accident and health contracts	0									XXX
22. Increase in reserves for life contracts.....	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
23. Total underwriting deductions (Lines 17 to 22)	285,989,968	0	0	0	0	0	113,185,833	172,971,135	(167,000)	0
24. Net underwriting gain or (loss) (Line 7 minus Line 23)	18,340,734	0	0	0	0	0	5,996,973	12,080,928	167,000	95,833
DETAILS OF WRITE-INS										
0501. Miscellaneous revenue.....	5,542						4,157	1,385		XXX
0502. IPA Tax Revenue.....	31,026,951						2,787,230	28,239,721		XXX
0503. ACA Tax Revenue.....	2,475,107						13,932	2,461,175		XXX
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0	0	0	0	0	0	0	XXX
0599. Totals (Lines 0501 through 0503 plus 0598) (Line 5 above)	33,507,600	0	0	0	0	0	2,805,319	30,702,281	0	XXX
0601. Gain/Loss on Asset Disposal.....	(388)	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	(388)
0602. Tiff Revenue.....	96,221	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	96,221
0603.		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
0698. Summary of remaining write-ins for Line 6 from overflow page.....	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	95,833	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	95,833
1301. UPHIE EXPENSE.....	471,006						46,340	424,666		XXX
1302.										XXX
1303.										XXX
1398. Summary of remaining write-ins for Line 13 from overflow page	0	0	0	0	0	0	0	0	0	XXX
1399. Totals (Lines 1301 through 1303 plus 1398) (Line 13 above)	471,006	0	0	0	0	0	46,340	424,666	0	XXX

UNDERWRITING AND INVESTMENT EXHIBIT
PART 1 - PREMIUMS

Line of Business	1 Direct Business	2 Reinsurance Assumed	3 Reinsurance Ceded	4 Net Premium Income (Cols. 1+2-3)
1. Comprehensive (hospital and medical)				0
2. Medicare Supplement				0
3. Dental only.....				0
4. Vision only.....				0
5. Federal Employees Health Benefits Plan				0
6. Title XVIII - Medicare	116,510,484		132,997	116,377,487
7. Title XIX - Medicaid.....	155,564,045		1,214,263	154,349,782
8. Other health.....				0
9. Health subtotal (Lines 1 through 8)	272,074,529	0	1,347,260	270,727,269
10. Life				0
11. Property/casualty.....				0
12. Totals (Lines 9 to 11)	272,074,529	0	1,347,260	270,727,269

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE Upper Peninsula Health Plan, LLC

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2 – CLAIMS INCURRED DURING THE YEAR

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non- Health
1. Payments during the year:										
1.1 Direct	220,930,817						100,386,501	120,544,316		
1.2 Reinsurance assumed	0									
1.3 Reinsurance ceded	442,712						118,003	324,709		
1.4 Net	220,488,105	0	0	0	0	0	100,268,498	120,219,607	0	0
2. Paid medical incentive pools and bonuses	7,164,690						7,846	7,156,844		
3. Claim liability December 31, current year from Part 2A:										
3.1 Direct	27,205,984	0	0	0	0	0	12,967,430	14,238,554	0	0
3.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
3.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
3.4 Net	27,205,984	0	0	0	0	0	12,967,430	14,238,554	0	0
4. Claim reserve December 31, current year from Part 2D:										
4.1 Direct	0									
4.2 Reinsurance assumed	0									
4.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
4.4 Net	0	0	0	0	0	0	0	0	0	0
5. Accrued medical incentive pools and bonuses, current year	3,089,484							3,089,484		
6. Net healthcare receivables (a).....	287,696						564,937	(277,241)		
7. Amounts recoverable from reinsurers December 31, current year	0									
8. Claim liability December 31, prior year from Part 2A:										
8.1 Direct	25,145,765	0	0	0	0	0	11,664,889	13,480,876	0	0
8.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
8.3 Reinsurance ceded	141,764	0	0	0	0	0	45,971	95,793	0	0
8.4 Net	25,004,001	0	0	0	0	0	11,618,918	13,385,083	0	0
9. Claim reserve December 31, prior year from Part 2D:										
9.1 Direct	0	0	0	0	0	0	0	0	0	0
9.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
9.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
9.4 Net	0	0	0	0	0	0	0	0	0	0
10. Accrued medical incentive pools and bonuses, prior year	0	0	0	0	0	0	0	0	0	0
11. Amounts recoverable from reinsurers December 31, prior year	0	0	0	0	0	0	0	0	0	0
12. Incurred benefits:										
12.1 Direct	222,703,340	0	0	0	0	0	101,124,105	121,579,235	0	0
12.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
12.3 Reinsurance ceded	300,948	0	0	0	0	0	72,032	228,916	0	0
12.4 Net	222,402,392	0	0	0	0	0	101,052,073	121,350,319	0	0
13. Incurred medical incentive pools and bonuses	10,254,174	0	0	0	0	0	7,846	10,246,328	0	0

(a) Excludes \$ loans or advances to providers not yet expensed.

UNDERWRITING AND INVESTMENT EXHIBIT
PART 2A - CLAIMS LIABILITY END OF CURRENT YEAR

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital and Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
1. Reported in Process of Adjustment:										
1.1. Direct	7,993,993						3,485,626	4,508,367		
1.2. Reinsurance assumed	0									
1.3. Reinsurance ceded	0									
1.4. Net	7,993,993	0	0	0	0	0	3,485,626	4,508,367	0	0
2. Incurred but Unreported:										
2.1. Direct	19,135,144						9,404,957	9,730,187		
2.2. Reinsurance assumed	0									
2.3. Reinsurance ceded	0									
2.4. Net	19,135,144	0	0	0	0	0	9,404,957	9,730,187	0	0
3. Amounts Withheld from Paid Claims and Capitations:										
3.1. Direct	76,847						76,847			
3.2. Reinsurance assumed	0									
3.3. Reinsurance ceded	0									
3.4. Net	76,847	0	0	0	0	0	76,847	0	0	0
4. TOTALS:										
4.1. Direct	27,205,984	0	0	0	0	0	12,967,430	14,238,554	0	0
4.2. Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
4.3. Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
4.4. Net	27,205,984	0	0	0	0	0	12,967,430	14,238,554	0	0

UNDERWRITING AND INVESTMENT EXHIBIT
PART 2B - ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR-NET OF REINSURANCE

Line of Business	Claims Paid During the Year		Claim Reserve and Claim Liability December 31 of Current Year		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid December 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)					0	0
2. Medicare Supplement					0	0
3. Dental Only.....					0	0
4. Vision Only.....					0	0
5. Federal Employees Health Benefits Plan					0	0
6. Title XVIII - Medicare	10,415,966	89,852,524	38,090	12,929,340	10,454,056	11,618,918
7. Title XIX - Medicaid.....	11,047,220	109,172,395	(27,090)	14,265,645	11,020,130	13,385,084
8. Other health					0	0
9. Health subtotal (Lines 1 to 8).....	21,463,186	199,024,919	11,000	27,194,985	21,474,186	25,004,002
10. Healthcare receivables (a).....				3,782,502	0	3,494,806
11. Other non-health.....					0	0
12. Medical incentive pools and bonus amounts		7,164,690		3,089,484	0	0
13. Totals (Lines 9-10+11+12)	21,463,186	206,189,609	11,000	26,501,967	21,474,186	21,509,196

(a) Excludes \$ loans or advances to providers not yet expensed.

UNDERWRITING AND INVESTMENT EXHIBIT
PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(\$000 Omitted)

Section A – Paid Health Claims - Hospital and Medical

Year in Which Losses Were Incurred	Cumulative Net Amounts Paid				
	1 2016	2 2017	3 2018	4 2019	5 2020
1. Prior	5,751	5,751	5,751	5,751	5,751
2. 2016.....	.0	.0	.0	.0	.0
3. 2017.....	XXX	.0	.0	.0	.0
4. 2018.....	XXX	XXX	.0	.0	.0
5. 2019.....	XXX	XXX	XXX	.0	.0
6. 2020.....	XXX	XXX	XXX	XXX	0

Section B – Incurred Health Claims - Hospital and Medical

Year in Which Losses Were Incurred	Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
	1 2016	2 2017	3 2018	4 2019	5 2020
1. Prior	6,625	6,625	6,625	6,665	6,665
2. 2016.....	.0	.0	.0	.0	.0
3. 2017.....	XXX	.0	.0	.0	.0
4. 2018.....	XXX	XXX	.0	.0	.0
5. 2019.....	XXX	XXX	XXX	.0	.0
6. 2020.....	XXX	XXX	XXX	XXX	0

Section C – Incurred Year Health Claims and Claims Adjustment Expense Ratio – Hospital and Medical

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payments	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2+3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2016.....	.0	.0		.0	.0	.0			.0	.0
2. 2017.....	.0	.0		.0	.0	.0			.0	.0
3. 2018.....	.0	.0		.0	.0	.0			.0	.0
4. 2019.....	.0	.0		.0	.0	.0			.0	.0
5. 2020.....		0		0.0	0	0.0			0	0.0

UNDERWRITING AND INVESTMENT EXHIBIT
PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(\$000 Omitted)

Section A - Paid Health Claims - Medicare

Year in Which Losses Were Incurred	Cumulative Net Amounts Paid				
	1 2016	2 2017	3 2018	4 2019	5 2020
1. Prior49,412	.49,412	.49,412	.49,412	.49,412
2. 201634,992	.44,006	.44,006	.44,006	.44,006
3. 2017	XXX	.78,618	.87,965	.87,965	.87,965
4. 2018	XXX	XXX	.90,211	100,064	100,064
5. 2019	XXX	XXX	XXX	112,893	123,309
6. 2020	XXX	XXX	XXX	XXX	89,853

Section B - Incurred Health Claims - Medicare

Year in Which Losses Were Incurred	Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
	1 2016	2 2017	3 2018	4 2019	5 2020
1. Prior51,830	.51,830	.51,830	.51,830	.51,830
2. 201634,838	.43,909	.43,909	.43,909	.43,909
3. 2017	XXX	.84,367	.93,759	.93,759	.93,759
4. 2018	XXX	XXX	.90,333	100,171	100,171
5. 2019	XXX	XXX	XXX	113,116	123,570
6. 2020	XXX	XXX	XXX	XXX	90,606

Section C – Incurred Year Health Claims and Claims Adjustment Expense Ratio – Medicare

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payments	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2+3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2016.....	.52,854	.44,006	.290	.0.7	.44,296	.83.8			.44,296	.83.8
2. 2017.....	.97,640	.87,965	.542	.0.6	.88,507	.90.6			.88,507	.90.6
3. 2018.....	.98,737	100,064	2,121	2.1	102,185	103.5			102,185	103.5
4. 2019.....	.98,961	123,309	2,007	.1.6	125,316	126.6	.38		125,354	126.7
5. 2020	116,378	89,853	2,513	2.8	92,366	79.4	12,929		105,295	90.5

UNDERWRITING AND INVESTMENT EXHIBIT
PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(\$000 Omitted)

Section A - Paid Health Claims - Title XIX Medicaid

Year in Which Losses Were Incurred	Cumulative Net Amounts Paid				
	1 2016	2 2017	3 2018	4 2019	5 2020
1. Prior	1,036,904	1,036,904	1,036,904	1,036,904	1,036,904
2. 2016	180,223	200,232	200,232	200,232	200,232
3. 2017	XXX	156,650	166,711	166,711	166,711
4. 2018	XXX	XXX	126,438	137,760	137,760
5. 2019	XXX	XXX	XXX	81,839	92,886
6. 2020	XXX	XXX	XXX	XXX	109,172

Section B – Incurred Health Claims - Title XIX Medicaid

Year in Which Losses Were Incurred	Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
	1 2016	2 2017	3 2018	4 2019	5 2020
1. Prior	1,104,804	1,104,804	1,104,804	1,104,804	1,104,804
2. 2016	180,189	200,164	200,164	200,164	200,164
3. 2017	XXX	150,124	160,181	160,181	160,181
4. 2018	XXX	XXX	112,667	124,369	124,369
5. 2019	XXX	XXX	XXX	82,689	93,709
6. 2020	XXX	XXX	XXX	XXX	120,577

Section C – Incurred Year Health Claims and Claims Adjustment Expense Ratio – Title XIX Medicaid

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payments	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2+3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2016.....	234,089	200,232	1,286	0.6	201,518	86.1			201,518	86.1
2. 2017.....	194,988	166,711	1,085	0.7	167,796	86.1			167,796	86.1
3. 2018.....	152,156	137,760	3,269	2.4	141,029	92.7			141,029	92.7
4. 2019.....	154,998	92,886	3,216	3.5	96,102	62.0	(27)	0	96,075	62.0
5. 2020	154,350	109,172	3,559	3.3	112,731	73.0	17,355	247	130,333	84.4

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE Upper Peninsula Health Plan, LLC

UNDERWRITING AND INVESTMENT EXHIBIT
PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(\$000 Omitted)

Section A - Paid Health Claims - Grand Total

Year in Which Losses Were Incurred	Cumulative Net Amounts Paid				
	1 2016	2 2017	3 2018	4 2019	5 2020
1. Prior	1,092,067	1,092,067	1,092,067	1,092,067	1,092,067
2. 2016.....	215,215	244,238	244,238	244,238	244,238
3. 2017.....	XXX	235,268	254,676	254,676	254,676
4. 2018.....	XXX	XXX	216,649	237,824	237,824
5. 2019.....	XXX	XXX	XXX	194,732	216,195
6. 2020.....	XXX	XXX	XXX	XXX	199,025

Section B - Incurred Health Claims - Grand Total

Year in Which Losses Were Incurred	Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
	1 2016	2 2017	3 2018	4 2019	5 2020
1. Prior	1,163,259	1,163,259	1,163,259	1,163,299	1,163,299
2. 2016.....	215,027	244,073	244,073	244,073	244,073
3. 2017.....	XXX	234,491	253,940	253,940	253,940
4. 2018.....	XXX	XXX	203,000	224,540	224,540
5. 2019.....	XXX	XXX	XXX	195,805	217,279
6. 2020.....	XXX	XXX	XXX	XXX	211,183

Section C – Incurred Year Health Claims and Claims Adjustment Expense Ratio – Grand Total

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payments	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2+3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2016.....	286,943	244,238	1,576	0.6	245,814	85.7	0	0	245,814	85.7
2. 2017.....	292,628	254,676	1,627	0.6	256,303	87.6	0	0	256,303	87.6
3. 2018.....	250,893	237,824	5,390	2.3	243,214	96.9	0	0	243,214	96.9
4. 2019.....	253,959	216,195	5,223	2.4	221,418	87.2	11	0	221,429	87.2
5. 2020.....	270,728	199,025	6,072	3.1	205,097	75.8	30,284	247	235,628	87.0

UNDERWRITING AND INVESTMENT EXHIBIT
PART 2D - AGGREGATE RESERVE FOR ACCIDENT AND HEALTH CONTRACTS ONLY

	1	2	3	4	5	6	7	8	9
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
1. Unearned premium reserves.....	.0								
2. Additional policy reserves (a).....	.0								
3. Reserve for future contingent benefits.....	.0								
4. Reserve for rate credits or experience rating refunds (including \$ for investment income).....	8,070,436							8,070,436	
5. Aggregate write-ins for other policy reserves0	.0	.0	.0	.0	.0	.0	.0	.0
6. Totals (gross)	8,070,436	.0	.0	.0	.0	.0	.0	8,070,436	.0
7. Reinsurance ceded0								
8. Totals (Net) (Page 3, Line 4)	8,070,436	0	0	0	0	0	0	8,070,436	0
9. Present value of amounts not yet due on claims0								
10. Reserve for future contingent benefits0								
11. Aggregate write-ins for other claim reserves0	.0	.0	.0	.0	.0	.0	.0	.0
12. Totals (gross)0	.0	.0	.0	.0	.0	.0	.0	.0
13. Reinsurance ceded0								
14. Totals (Net) (Page 3, Line 7)	0	0	0	0	0	0	0	0	0
DETAILS OF WRITE-INS									
0501.									
0502.									
0503.									
0598. Summary of remaining write-ins for Line 5 from overflow page0	.0	.0	.0	.0	.0	.0	.0	.0
0599. Totals (Lines 0501 through 0503 plus 0598) (Line 5 above)	0	0	0	0	0	0	0	0	0
1101.									
1102.									
1103.									
1198. Summary of remaining write-ins for Line 11 from overflow page0	.0	.0	.0	.0	.0	.0	.0	.0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0	0	0	0	0	0

(a) Includes \$ premium deficiency reserve.

UNDERWRITING AND INVESTMENT EXHIBIT
PART 3 - ANALYSIS OF EXPENSES

	Claim Adjustment Expenses		3 General Administrative Expenses	4 Investment Expenses	5 Total
	1 Cost Containment Expenses	2 Other Claim Adjustment Expenses			
1. Rent (\$778,700 for occupancy of own building)	5,050	11,518	762,132		778,700
2. Salaries, wages and other benefits	2,641,310	1,479,300	5,895,132		10,015,742
3. Commissions (less \$ceded plus \$assumed)			70,379		70,379
4. Legal fees and expenses					0
5. Certifications and accreditation fees	9,058	1,702	72,539		83,299
6. Auditing, actuarial and other consulting services	616,716	755,303	1,985,937		3,357,956
7. Traveling expenses	1,854	570	52,258		54,682
8. Marketing and advertising	5,529	3,097	279,723		288,349
9. Postage, express and telephone	30,181	2,537	154,823		187,541
10. Printing and office supplies	20,149	521	216,572		237,242
11. Occupancy, depreciation and amortization			141,290		141,290
12. Equipment					0
13. Cost or depreciation of EDP equipment and software			183,854		183,854
14. Outsourced services including EDP, claims, and other services	130,447	73,058	1,374,809		1,578,314
15. Boards, bureaus and association fees	6,242	1,737	235,857		243,836
16. Insurance, except on real estate			187,722		187,722
17. Collection and bank service charges			35,439		35,439
18. Group service and administration fees	7,954		75		8,029
19. Reimbursements by uninsured plans			0		0
20. Reimbursements from fiscal intermediaries			0		0
21. Real estate expenses			92,757		92,757
22. Real estate taxes			134,845		134,845
23. Taxes, licenses and fees:					
23.1 State and local insurance taxes	0	0	31,028,371		31,028,371
23.2 State premium taxes					0
23.3 Regulatory authority licenses and fees			3,505,141		3,505,141
23.4 Payroll taxes	171,264	95,921	382,245		649,430
23.5 Other (excluding federal income and real estate taxes)				8,751	8,751
24. Investment expenses not included elsewhere					0
25. Aggregate write-ins for expenses	0	0	470,485	0	470,485
26. Total expenses incurred (Lines 1 to 25)	3,645,754	2,425,264	47,262,385	8,751	53,342,154
27. Less expenses unpaid December 31, current year		246,634	9,310,092		9,556,726
28. Add expenses unpaid December 31, prior year	0	228,332	9,499,502	0	9,727,834
29. Amounts receivable relating to uninsured plans, prior year	0	0	0	0	0
30. Amounts receivable relating to uninsured plans, current year					0
31. Total expenses paid (Lines 26 minus 27 plus 28 minus 29 plus 30)	3,645,754	2,406,962	47,451,795	8,751	53,513,262
DETAILS OF WRITE-INS					
2501. MPCA - Outreach			637,485		637,485
2502. Net Pass Throughs			(167,000)		(167,000)
2503.					0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0	0
2599. Totals (Line 2501 through 2503 plus 2598) (Line 25 above)	0	0	470,485	0	470,485

(a) Includes management fees of \$11,637,320 to affiliates and \$to non-affiliates.

EXHIBIT OF NET INVESTMENT INCOME

		1	2
		Collected During Year	Earned During Year
1.	U.S. Government bonds	(a).....
1.1	Bonds exempt from U.S. tax	(a).....
1.2	Other bonds (unaffiliated)	(a).....774,006692,265
1.3	Bonds of affiliates	(a).....0
2.1	Preferred stocks (unaffiliated)	(b).....0
2.11	Preferred stocks of affiliates	(b).....0
2.2	Common stocks (unaffiliated)0
2.21	Common stocks of affiliates0
3.	Mortgage loans	(c).....
4.	Real estate	(d).....778,700778,700
5.	Contract loans
6.	Cash, cash equivalents and short-term investments	(e).....178,815168,042
7.	Derivative instruments	(f).....
8.	Other invested assets
9.	Aggregate write-ins for investment income00
10.	Total gross investment income	1,731,521	1,639,007
11.	Investment expenses		(g).....8,751
12.	Investment taxes, licenses and fees, excluding federal income taxes		(g).....
13.	Interest expense		(h).....
14.	Depreciation on real estate and other invested assets		(i).....375,167
15.	Aggregate write-ins for deductions from investment income0
16.	Total deductions (Lines 11 through 15)383,918
17.	Net investment income (Line 10 minus Line 16)		1,255,089
DETAILS OF WRITE-INS			
0901.
0902.
0903.
0998.	Summary of remaining write-ins for Line 9 from overflow page00
0999.	Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)	0	0
1501.
1502.
1503.
1598.	Summary of remaining write-ins for Line 15 from overflow page0
1599.	Totals (Lines 1501 through 1503 plus 1598) (Line 15 above)		0

(a) Includes \$16,492 accrual of discount less \$124,869 amortization of premium and less \$0 paid for accrued interest on purchases.
(b) Includes \$ accrual of discount less \$ amortization of premium and less \$0 paid for accrued dividends on purchases.
(c) Includes \$0 accrual of discount less \$0 amortization of premium and less \$ paid for accrued interest on purchases.
(d) Includes \$778,700 for company's occupancy of its own buildings; and excludes \$ interest on encumbrances.
(e) Includes \$ accrual of discount less \$26,086 amortization of premium and less \$20,866 paid for accrued interest on purchases.
(f) Includes \$ accrual of discount less \$ amortization of premium.
(g) Includes \$ investment expenses and \$ investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
(h) Includes \$ interest on surplus notes and \$ interest on capital notes.
(i) Includes \$375,167 depreciation on real estate and \$ depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

		1	2	3	4	5
		Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreign Exchange Capital Gain (Loss)
1.	U.S. Government bonds0		
1.1	Bonds exempt from U.S. tax0		
1.2	Other bonds (unaffiliated)643	643		
1.3	Bonds of affiliates00000
2.1	Preferred stocks (unaffiliated)00000
2.11	Preferred stocks of affiliates00000
2.2	Common stocks (unaffiliated)00000
2.21	Common stocks of affiliates00000
3.	Mortgage loans00000
4.	Real estate000	0
5.	Contract loans0		
6.	Cash, cash equivalents and short-term investments000
7.	Derivative instruments0		
8.	Other invested assets00000
9.	Aggregate write-ins for capital gains (losses)00000
10.	Total capital gains (losses)	643	0	643	0	0
DETAILS OF WRITE-INS						
0901.0		
0902.0		
0903.0		
0998.	Summary of remaining write-ins for Line 9 from overflow page00000
0999.	Totals (Lines 0901 through 0903 plus 0998) (Line 9 above)	0	0	0	0	0

EXHIBIT OF NONADMITTED ASSETS

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D).....	0	0	0
2. Stocks (Schedule D):			
2.1 Preferred stocks	0	0	0
2.2 Common stocks	0	0	0
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens	0	0	0
3.2 Other than first liens	0	0	0
4. Real estate (Schedule A):			
4.1 Properties occupied by the company	0	0	0
4.2 Properties held for the production of income.....	0	0	0
4.3 Properties held for sale	0	0	0
5. Cash (Schedule E-Part 1), cash equivalents (Schedule E-Part 2) and short-term investments (Schedule DA).....	0	0	0
6. Contract loans	0	0	0
7. Derivatives (Schedule DB).....	0	0	0
8. Other invested assets (Schedule BA)	0	0	0
9. Receivables for securities	0	0	0
10. Securities lending reinvested collateral assets (Schedule DL).....	0	0	0
11. Aggregate write-ins for invested assets	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	0	0	0
13. Title plants (for Title insurers only).....	0	0	0
14. Investment income due and accrued	0	0	0
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection.....	0	0	0
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due.....	0	0	0
15.3 Accrued retrospective premiums and contracts subject to redetermination	0	0	0
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0
17. Amounts receivable relating to uninsured plans	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0
18.2 Net deferred tax asset.....	0	0	0
19. Guaranty funds receivable or on deposit	0	0	0
20. Electronic data processing equipment and software.....	30,576	32,224	1,648
21. Furniture and equipment, including health care delivery assets.....	214,828	336,945	122,117
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0
24. Health care and other amounts receivable.....	3,782,502	3,494,806	(287,696)
25. Aggregate write-ins for other-than-invested assets	799,645	1,014,900	215,255
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25).....	4,827,551	4,878,875	51,324
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
28. Total (Lines 26 and 27)	4,827,551	4,878,875	51,324
DETAILS OF WRITE-INS			
1101.		0	0
1102.		0	0
1103.		0	0
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0
2501. Prepaid Expenses.....	710,674	900,295	189,621
2502. Vehicles.....	88,971	114,605	25,634
2503.		0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	799,645	1,014,900	215,255

EXHIBIT 1 - ENROLLMENT BY PRODUCT TYPE FOR HEALTH BUSINESS ONLY

Source of Enrollment	Total Members at End of					6 Current Year Member Months
	1 Prior Year	2 First Quarter	3 Second Quarter	4 Third Quarter	5 Current Year	
1. Health Maintenance Organizations.....	48,957	49,155	50,982	52,217	53,839	613,300
2. Provider Service Organizations.....	.0					
3. Preferred Provider Organizations.....	.0					
4. Point of Service.....	.0					
5. Indemnity Only.....	.0					
6. Aggregate write-ins for other lines of business.....	.0	.0	.0	.0	.0	.0
7. Total	48,957	49,155	50,982	52,217	53,839	613,300
DETAILS OF WRITE-INS						
0601.0					
0602.0					
0603.0					
0698. Summary of remaining write-ins for Line 6 from overflow page0	.0	.0	.0	.0	.0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	0	0	0	0	0	0

Note 1 - Summary of Significant Accounting Policies and Going Concern

A) Accounting Practices

The accompanying statutory financial statements of Upper Peninsula Health Plan, LLC (the “Company”) have been prepared in conformity with accounting practices prescribed or permitted by the Michigan Department of Insurance and Financial Services (“DIFS”). DIFS recognizes only statutory accounting practices prescribed or permitted by the State of Michigan for determining and reporting the financial condition and results of operations of an insurance company, which include accounting practices and procedures adopted by the National Association of Insurance Commissioners’ (“NAIC”) Accounting Practices and Procedures Manual (“NAIC SAP”).

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Michigan is shown below:

		SSAP#	F/S Page	F/S Line	2020	2019
NET INCOME						
(1)	Upper Peninsula Health Plan, LLC state basis (Page 4, Line 32, Columns 2 & 3)				\$ 19,596,466	\$ 21,108,339
(2)	State Prescribed Practices that increase/(decrease) NAIC SAP:	N/A				
(201)	e.g., Depreciation of fixed assets					
(299)	Total	N/A				
(3)	State Permitted Practices that increase/(decrease) NAIC SAP:	N/A				
(301)	e.g., Depreciation, home office property					
(399)	Total	N/A				
(4)	NAIC SAP (1-2-3 = 4)				\$ 19,596,466	\$ 21,108,339
SURPLUS						
(5)	Upper Peninsula Health Plan, LLC state Basis (Page 3, Line 33, Columns 3 & 4)				\$ 66,942,383	\$ 68,294,593
(6)	State Prescribed Practices that increase/(decrease) NAIC SAP:	N/A				
(601)	e.g., Goodwill, net; Fixed Assets, net					
(699)	Total	N/A				
(7)	State Permitted Practices that increase/(decrease) NAIC SAP:	N/A				
(701)	e.g., Home office property					
(799)	Total	N/A				
(8)	NAIC SAP (5-6-7 = 8)				\$ 66,942,383	\$ 68,294,593

B) Use of Estimates in the Preparation of the Financial Statements

The estimates used in the preparation of the financial statements conformed to the Annual Statement Instructions and Accounting Practices and Procedures Manual.

C) Accounting Policy

The Company applies the following accounting policies:

- (1) Short-term Investments – stated at amortized cost.
- (2) Bonds, Mandatory Convertible Securities & SVO-Identified investments – The Company does not have any mandatory convertible securities or SVO identified investments.
- (3) Common Stocks – NONE
- (4) Preferred Stocks – NONE
- (5) Mortgage loans on real estate - NONE
- (6) Loan-backed securities – NONE
- (7) Investments in subsidiaries, controlled or affiliated companies – NONE
- (8) Investments in joint ventures, partnerships and limited liability companies – NONE
- (9) Derivatives – NONE
- (10) Investment income in premium deficiency calculation – NONE
- (11) Claims Unpaid – The claims unpaid liability for incurred but unpaid and unreported claims is accrued in the period during which the services are provided, and includes actuarial estimates of services performed that have not been reported to Upper Peninsula Health Plan, LLC by providers.
- (12) Fixed Asset Capitalization – there was no change in the capitalization policy from prior periods.
- (13) Pharmaceutical Rebate Receivables – The Company derives pharmaceutical rebate receivables from actual confirmed receipts from the PBM.

D) Going Concern – There are no conditions or events that would prevent the Company to continue as a going concern.

Note 2 - Accounting Changes and Corrections of Errors

NONE

Note 3 - Business Combinations and Goodwill

NONE

Note 4 - Discontinued Operations

NONE

Note 5 – Investments

- A. Mortgage Loans – NONE
- B. Debt Restructuring – NONE
- C. Reverse Mortgages - NONE
- D. Loan-Backed Securities – NONE
- E. Dollar Repurchase Agreements and/or Securities Lending Transactions – NONE
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - NONE
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing – NONE
- H. Repurchase Agreements Transactions Accounted for as a Sale – NONE
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale – NONE
- J. Real Estate – NONE
- K. Low-Income Housing Tax Credits – NONE
- L. Restricted Assets

(1) Restricted Assets (Including Pledged)
As of December 31, 2020, the Company maintained on deposit with Wells Fargo \$1,000,722 held as cash.

		1	2	3	4	5	6	7
Restricted Asset Category		Total Gross (Admitted & Nonadmitted) Restricted from Current Year	Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	Increase/ (Decrease) (1 minus 2)	Total Current Year Nonadmitted Restricted	Total Current Year Admitted Restricted (1 minus 4)	Gross (Admitted & Nonadmitted) Restricted to Total Assets (a)	Admitted Restricted to Total Admitted Assets (b)
a.	Subject to contractual obligation for which liability is not shown							
b.	Collateral held under security lending agreements							
c.	Subject to repurchase agreements							
d.	Subject to reverse repurchase agreements							
e.	Subject to dollar repurchase agreements							
f.	Subject to dollar reverse repurchase agreements							
g.	Placed under option contracts							
h.	Letter stock or securities restricted as to sale - excluding FHLB capital stock							
i.	FHLB capital stock							
j.	On deposit with states							
k.	On deposit with other regulatory bodies	1,000,722	1,000,722	-	-	1,000,722	0.7%	0.8%
l.	Pledged as collateral to FHLB (including assets backing funding agreements)							
m.	Pledged as collateral not captured in other categories							
n.	Other restricted assets							
o.	Total Restricted Assets	\$ 1,000,722	\$ 1,000,722	\$ -	\$ -	\$ 1,000,722	0.7%	0.8%

M. Working Capital Finance Investments – NONE

- N. Offsetting and Netting of Assets and Liabilities – NONE
- O. 5GI Securities – NONE
- P. Short Sales – NONE
- Q. Prepayment Penalty and Acceleration Fees – NONE

Note 6 - Joint Ventures, Partnerships, and Limited Liability Companies

NONE

Note 7 – Investment Income

- A. All investment income due and accrued with amounts that are over 90 days past due with the exception of mortgage loans in default are excluded from surplus.
- B. The Company had no investment income due and accrued excluded from surplus.

Note 8 - Derivative Instruments

- A. Derivatives under SSAP No. 86 – Derivatives
 - (8) Aggregate total premium cost – NONE
- B. Derivatives under SSAP No. 108 – Derivative Hedging Variable Annuity Guarantees
 - (2) Gains/losses and deferred assets and liabilities – not applicable
 - (4) Hedging strategies – not applicable

Note 9 - Income Taxes

NONE

Note 10 - Information Concerning Parent, Subsidiaries, and Affiliates

- A. The Company is owned by thirteen healthcare organizations with each owning various percentages. The company has one ultimate controlling person (UCP), Apollo Global Management, LLC. In that, one entity owns greater than 10% of the company’s non-voting stock:

Apollo Global Management, LLC controls 58.97% through its ownership of DLP Marquette Health Plan, LLC, and controls 5.37% through its ownership of Acquisition Bell Hospital.

Apollo Global Management, LLC’s subsidiary, LifePoint Holdings 2, LLC owns 100% of Portage Holding Company, LLC, which controls 10.51% of Upper Peninsula Health Plan.

- B. The Company made equity distributions to the thirteen healthcare organization owners totaling \$21,000,000 on April 2, 2020.

Baraga County Memorial - \$176,000
 Acquisition Bell Hospital, LLC - \$1,127,700
 Dickinson Healthcare System - \$237,300
 Aspirus Grand View Hospital - \$1,005,900
 Aspirus Iron River - \$420,000
 Aspirus Keweenaw Hospital - \$814,800
 Helen Newberry Joy Hospital - \$434,700
 DLP Marquette Health Plan – \$12,383,700
 Munising Memorial Hospital - \$27,300
 Portage JV, LLC - \$2,205,000
 Schoolcraft Memorial Hospital - \$472,500
 SSM Tribal Health - \$123,900
 War Memorial Hospital - \$1,570,800

The Company also paid a management fee of \$11,637,320 to its management company, Upper Peninsula Managed Care, LLC through December 31, 2020. All transactions are covered under Note 10-Part F.

- C. Transactions with related parties who are not on Schedule Y – NONE
- D. Amounts due to/(from) Upper Peninsula Health Plan and affiliates totaled \$(1,041,397). Receivables and payables are generally settled on a monthly basis.
- E. The Plan has a Management Service Agreement with its affiliate. This agreement spells out all administrative services provided by the company and includes methods of reimbursement for services performed.
- F. Affiliate Guarantees - NONE
- G. There are no shares of voting common stock in the Company. All 100 voting shares were recalled as a result of a change in control effective April 1, 2004.

- H. Ownership in upstream affiliate or parent – NONE
- I. Investment in subsidiary, controlled or affiliated (SCA) entity that exceeds 10% of the admitted assets of the insurer – NONE
- J. Investment impaired – NONE
- K. Investment in a foreign insurance subsidiary – NONE
- L. Investment in downstream noninsurance holding company – NONE
- M. SCA Investments - NONE
- N. Investment in Insurance SCA's – NONE
- O. SCA and SSAP No. 48 Entity Loss Tracking – NONE

Note 11 - Debt

- A. Capital Notes: NONE
- B. FHLB (Federal Home Loan Bank) Agreements: Not Applicable

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits & Compensated Absences, and other Postretirement Benefit Plans

- A. (4) Defined Benefit Plan Net Periodic Benefit Cost: Not Applicable

Note 13 - Capital, Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations

NONE

Note 14 - Contingencies

- A. Contingent Commitments – NONE
- B. Assessments – On December 10, 2018, The Center for Medicare and Medicaid Services (CMS) approved the new Michigan Insurance Provider Assessment Tax (IPA) to fund Medicaid in the State of Michigan. The IPA tax is effective retroactive to October 1 2018 and replaces the Michigan Health Insurance Claims Assessment Tax (HICA). This tax is paid directly by the health insurers domiciled in the state of Michigan. As of December 31, 2020 the Company has reported a receivable for IPA tax as an aggregate write-in for other than invested assets in the amount of \$7,742,246 on Page 2, Line 25. A corresponding liability was reported under general expenses due or accrued for the same amount on Page 3, Line 9. The Company has also reported revenues in the amount of \$31,026,950 on the aggregate write-ins for other health care related revenues for the IPA tax on Page 4, Line 6. A corresponding expense has also been reported in general administrative expenses on Page 4, Line 21 for the same amount. This disclosure is promulgated as a reporting requirement by the State of Michigan.
- C. Gain Contingencies – NONE
- D. Claims Related Extra Contractual Obligations – NONE
- E. Joint and Several Liabilities – NONE
- F. All Other Contingencies - NONE

Note 15 - Leases

NONE

Note 16 - Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

NONE

Note 17 - Sale, Transfer, and Servicing of Financial Assets and Extinguishments of Liabilities

- A. Transfers of Receivables Reported as Sales - NONE
- B. Transfer and Servicing of Financial Assets - NONE
 - (2) Servicing assets and liabilities – NONE
 - (4) Securitizations, asset-backed financing arrangements - NONE
- C. Wash Sales – NONE

Note 18 - Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

A. The gain from operations from Administrative Services Only (ASO) uninsured plans and the uninsured portion of partially insured plans was as follows at the end of the 4th quarter of 2020.

		ASO Uninsured Plans	Uninsured Portion of Partially Insured Plans	Total ASO
a.	Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses	\$ (167,000)	\$ -	\$ (167,000)
b.	Total net other income or expenses (including interest paid to or received from plans)	\$ -	\$ -	\$ -
c.	Net gain or (loss) from operations	\$ (167,000)	\$ -	\$ (167,000)
d.	Total claims payment volume	\$ 41,721,697	\$ -	\$ 41,721,697

B. ACS Plans – NONE

C. Medicare or Similarly Structured Cost Based Reimbursement Contract

- (1) The Company records no revenue explicitly attributable to the cost share and reinsurance components of administered Medicare products.
- (2) As of December 31, 2020, the Company has recorded a receivable from CMS of \$351,050 related to the risk sharing and reinsurance components of administered Medicare products. The Company also recorded a receivable from the State of Michigan of \$12,230,063 for amounts receivable under uninsured plans.
- (3) In connection with the Company’s Medicare Part D cost based reimbursement portion of the contract, the Company has recorded no allowances and reserves for adjustment of recorded revenues at December 31, 2020.
- (4) The Company has made no adjustment to revenue resulting from audit of receivables related to revenues recorded in the prior period.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

NONE

Note 20 – Fair Value Measurements

In general, the Level 1 fair values are established from quoted (unadjusted) market prices in active markets for identical assets and liabilities that the Company has the ability to access.

A. Fair Value at Reporting Date

1. Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Cash (E-1)					
Open Depositories	8,837,359.64	0.00	0.00	0.00	8,837,359.64
Total Cash (E-1)	8,837,359.64	0.00	0.00	0.00	8,837,359.64
Cash Equivalent (E-2)					
Other MM Mutual Fund	64,395,811.90	0.00	0.00	0.00	64,395,811.90
Total Cash Equivalent (E-2)	64,395,811.90	0.00	0.00	0.00	64,395,811.90
Separate account assets	---	---	---	---	---
Total assets at fair value	73,233,171.54	0.00	0.00	0.00	73,233,171.54
b. Liabilities at fair value					
Derivative liabilities	---	---	---	---	---
Total Liabilities at fair value	---	---	---	---	---

2. Fair Value Measurements in Level 3 – NONE

- 3. The Company’s policy for determining transfers between levels are recognized and determined at the end of the reporting period.

4. As of December 31, 2020, the reported fair value of the reporting entity's investments in Level 3, NAIC designated 6, residential mortgage-backed securities was \$0.
5. Derivative assets and liabilities - NONE
- B. Fair value information disclosed under SSAP No. 100 combined with fair value information under other accounting pronouncements – NONE

C. Aggregate Fair Value of all Financial Instruments

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable Carrying Value
Bonds	\$ 17,259,679	\$ 17,063,118	\$ 17,063,118				\$ -
Short Term Investments	\$ 5,123,183	\$ 5,126,045	\$ 5,126,045				
Cash Equivalents	\$ 64,395,812	\$ 64,395,812	\$ 64,395,812			\$ -	
Total	\$ 86,778,674	\$ 86,584,975	\$ 86,584,975	\$ -	\$ -	\$ -	\$ -

- D. Not practicable to estimate fair value – NONE
- E. The Company does not have any investments measured using the NAV practical expedient pursuant to SSAP No. 100R.

Note 21 – Other Items

- A. Unusual or Infrequent Items - NONE
- B. Troubled Debt Restructuring – NONE
- C. Other Disclosures – NONE
- D. Business Interruption Insurance Recoveries – NONE
- E. State Transferable and Non-transferable Tax Credits – NONE
- F. Subprime-Mortgage-Related Risk Exposure – NONE
- G. Retained Assets – NONE
- H. Insurance-Linked Securities (ILS) Contracts – NONE
- I. Amount Realized on Life Insurance - NONE

Note 22-Events Subsequent

Type 1 – Recognized subsequent events – Subsequent events have been considered through March 1, 2021 for the statutory statement year ending December 31, 2020.

Type 2 – Non-recognized subsequent events

The annual fee under Section 9010 of the federal Affordable Care Act (ACA) was suspended for the data year 2020. As a result, the Company will not owe the fee that would be payable on September 30, 2021 and there will be no amounts reflected in the aggregate write-ins for special surplus funds related to his fee at December 31, 2020. Also as a result of the suspension, there will be no impact to the Company's risk based capital to assess as of December 31, 2020.

	<u>Current Year</u>	<u>Prior Year</u>
A. Did the Reporting entity write accident and health insurance premium that is subject to Section 9010 of the federal Affordable Care Act (YES/NO)	YES	YES
B. ACA fee assessment payable for the upcoming year	\$ 0	\$ 3,941,340
C. ACA fee assessment paid	\$ 3,505,141	\$ 0
D. Premium written subject to ACA 9010 assessment	\$ 0	\$ 222,023,235
E. Total Adjusted Capital before surplus adjustment (Five-Year Historical Line 14)	\$ 66,942,383	\$ 68,294,593
F. Total Adjusted Capital after surplus adjustment (Five-Year Historical Line 14 minus 22B above)	\$ 66,942,383	\$ 64,353,253
G. Authorized Control Level after surplus adjustment (Five-Year Historical Line 15)	\$ 9,935,424	\$ 9,300,750
H. Would reporting the ACA assessment as of Dec 31, 2019 trigger an RBC action level (YES/NO)?	NO	NO

Note 23-Reinsurance

- A. The Company limits a portion of its medical claims liability through stop-loss insurance. Under the terms of this agreement, the insurance company will reimburse approximately 90% of the cost of each member's applicable annual services in excess of \$350,000 (up to a maximum of \$2,000,000) once an annual aggregate deductible of approximately \$1,400,000 is reached. There was no uncollectible reinsurance written off during the quarter.

Section 1 – General Interrogatories

- (1) Are any of the reinsurers listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the Company?

Yes () No (X)

- (2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) that is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business?

Yes () No (X)

Section 2 - Ceded Reinsurance Report – Part A

- (1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?

Yes () No (X)

- (2) Does the reporting entity have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

Yes () No (X)

Section 3 – Ceded Reinsurance Report – Part B

- (1) The estimated amount of the aggregate reduction in surplus, of termination of ALL reinsurance agreements, by either party, as of the date of this statement is zero.

- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the company as of the effective date of the agreement?

Yes () No (X)

B. Uncollectible Reinsurance – NONE

C. Commutation of Ceded Reinsurance – NONE

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation – NONE

E. Reinsurance Credit - NONE

Note 24-Retroactively Rated Contracts & Contracts Subject to Redetermination

- A. The Company estimates accrued retrospective premium adjustments for its Medicare Part D Risk Corridor adjustment based on the contract with CMS and actuarial estimates.

- B. The Company records accrued retrospective premium as an adjustment to earned premium.

- C. The amount of net premiums written by the Company at December 31, 2020 that are subject to retrospective rating features was \$20,797,824 or 8% of the total net premiums written. No other net premiums written by the Company are subject to retrospective rating features.

- D. Medical loss ratio rebates required pursuant to the Public Health Service Act – NONE

- E. Risk Sharing Provisions of the Affordable Care Act – NONE

Note 25-Change in Incurred Claims and Claim Adjustment Expense

- A. Reserves as of December 31, 2019 were \$25,004,002 for unpaid claims and \$228,332 for unpaid claims adjustment expenses. As of December 31, 2020, \$21,463,187 has been paid for incurred claims and attributable to insured events of prior years. Claims expense reserves remaining for prior years are now \$11,134, as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a favorable prior year development of \$3,488,888 during 2020 for the year ended December 31, 2019. Original estimates are increased or decreased as additional information becomes known regarding individual claims.

- B. There have been no significant changes in the methodologies or assumptions used in calculating the liability for unpaid losses and loss adjustment expenses.

Note 26-Intercompany Pooling Arrangements

NONE

Note 27-Structured Settlement

NONE

Note 28-Health Care Receivables

- A. As of December 31, 2020 the identified pharmacy rebates recorded as healthcare receivables are \$3,782,502.

Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More Than 180 Days After Billing
12/31/2020	3,782,502	0	0	0	1,951,750
9/30/2020	3,366,839	0	0	1,918,480	0
6/30/2020	3,191,491	0	0	1,575,655	2,281,249
3/31/2020	5,732,981	0	0	0	0
12/31/2019	3,494,806	0	0	1,598,999	1,721,723
9/30/2019	4,515,114	0	0	0	0
6/30/2019	2,384,331	0	0	1,589,036	
3/31/2019	4,703,672	0	0	0	0
12/31/2018	2,709,854	0	0	0	1,149,826
9/30/2018	2,064,887	0	0	1,562,030	987,027
6/30/2018	3,061,739	0	0	0	0
3/31/2018	1,835,264	0	0	1,725,214	0
12/31/2017	1,635,264	0	0	817,633	0

- B. Risk Sharing Receivables – NONE

Note 29-Participating Policies

NONE

Note 30-Premium Deficiency Reserves

- | | |
|--|-----------------|
| 1. Liability carried for premium deficiency reserves | <u>\$0</u> |
| 2. Date of the most recent evaluation of this liability | <u>12/31/20</u> |
| 3. Was anticipated investment income utilized in the calculation? (Yes/No) | <u>No</u> |

Note 31-Anticipated Salvage and Subrogation

NONE

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes [X] No []
- If yes, complete Schedule Y, Parts 1, 1A and 2.
- 1.2

If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations?

Yes [X] No [] N/A []
- 1.3

State Regulating? MICHIGAN.....
- 1.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [X] No []
- 1.5

If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

0001411494.....
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [] No [X]
- 2.2

If yes, date of change:
- 3.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

.....12/31/2020
- 3.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

.....12/31/2017
- 3.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

.....03/11/2019
- 3.4

By what department or departments? MICHIGAN DEPARTMENT OF INSURANCE AND FINANCIAL SERVICES.....
- 3.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [X] No [] N/A []
- 3.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [X] No [] N/A []
- 4.1

During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity) receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
- 4.11 sales of new business?

Yes [] No [X]
- 4.12 renewals?

Yes [] No [X]
- 4.2

During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
- 4.21 sales of new business?

Yes [] No [X]
- 4.22 renewals?

Yes [] No [X]
- 5.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [] No [X]
- If yes, complete and file the merger history data file with the NAIC.
- 5.2

If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....
.....
.....

- 6.1

Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [] No [X]
- 6.2

If yes, give full information
- 7.1

Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?

Yes [] No [X]
- 7.2

If yes,
- 7.21

State the percentage of foreign control

0.0 %
- 7.22

State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).

1 Nationality	2 Type of Entity
.....
.....
.....

GENERAL INTERROGATORIES

- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [☐] No [☒]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [☒] No [☐]
- 8.4

If response to 8.3 is yes, please provide the names and locations (city and state of the main office) of any affiliates regulated by a federal financial regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
Apollo Capital Management, L.P.....	New York, NY.....	NO.....	NO.....	NO.....	YES.....
Apollo Credit Management, LLC.....	New York, NY.....	NO.....	NO.....	NO.....	YES.....
Apollo Global Real Estate Management, L.P.....	New York, NY.....	NO.....	NO.....	NO.....	YES.....
Apollo Investment Management, L.P.....	New York, NY.....	NO.....	NO.....	NO.....	YES.....
Apollo Management, L.P.....	New York, NY.....	NO.....	NO.....	NO.....	YES.....
Apollo Global Securities, LLC.....	New York, NY.....	NO.....	NO.....	NO.....	YES.....
Apollo Senior Floating Rate Fund, Inc.....	New York, NY.....	NO.....	NO.....	NO.....	YES.....
Apollo Tactical Income Fund, Inc.....	New York, NY.....	NO.....	NO.....	NO.....	YES.....
Apollo Investment Corporation, Inc.....	New York, NY.....	NO.....	NO.....	NO.....	YES.....
Athene Securities, LLC.....	West De Moines, IA.....	NO.....	NO.....	NO.....	YES.....

9.

What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?

WIPFLI, 10000 INNOVATION DRIVE, SUITE 250, MILWAUKEE, WI 53226.....
- 10.1

Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation?

Yes [☐] No [☒]
- 10.2

If the response to 10.1 is yes, provide information related to this exemption:
- 10.3

Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation?

Yes [☐] No [☒]
- 10.4

If the response to 10.3 is yes, provide information related to this exemption:
- 10.5

Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws?

Yes [☒] No [☐] N/A [☐]
- 10.6

If the response to 10.5 is no or n/a, please explain
11.

What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?

MILLIMAN, 15800 BLUEMOUND RD., SUITE 400, BROOKFIELD, WI 53005, ACTUARY.....
- 12.1

Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly?

Yes [☐] No [☒]

12.11

Name of real estate holding company

.....

12.12

Number of parcels involved

.....0

12.13

Total book/adjusted carrying value

\$.....
- 12.2

If yes, provide explanation
13.

FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:
- 13.1

What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?
- 13.2

Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located?

Yes [☐] No [☐]
- 13.3

Have there been any changes made to any of the trust indentures during the year?

Yes [☐] No [☐]
- 13.4

If answer to (13.3) is yes, has the domiciliary or entry state approved the changes?

Yes [☐] No [☐] N/A [☐]
- 14.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes [☒] No [☐]

a.

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

b.

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

c.

Compliance with applicable governmental laws, rules and regulations;

d.

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

e.

Accountability for adherence to the code.
- 14.11

If the response to 14.1 is no, please explain:
- 14.2

Has the code of ethics for senior managers been amended?

Yes [☐] No [☒]
- 14.21

If the response to 14.2 is yes, provide information related to amendment(s).
- 14.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [☐] No [☒]
- 14.31

If the response to 14.3 is yes, provide the nature of any waiver(s).

GENERAL INTERROGATORIES

- 15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List?
- Yes [] No [X]
- 15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1	2	3	4
American Bankers Association (ABA) Routing Number	Issuing or Confirming Bank Name	Circumstances That Can Trigger the Letter of Credit	Amount

BOARD OF DIRECTORS

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof?
- Yes [X] No []
17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof?
- Yes [X] No []
18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict or is likely to conflict with the official duties of such person?
- Yes [X] No []

FINANCIAL

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)?
- Yes [] No [X]
- 20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.11 To directors or other officers \$.....
- 20.12 To stockholders not officers \$.....
- 20.13 Trustees, supreme or grand (Fraternal only) \$.....
- 20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.21 To directors or other officers \$.....
- 20.22 To stockholders not officers \$.....
- 20.23 Trustees, supreme or grand (Fraternal only) \$.....
- 21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement?
- Yes [] No [X]
- 21.2 If yes, state the amount thereof at December 31 of the current year:
- 21.21 Rented from others \$.....
- 21.22 Borrowed from others \$.....
- 21.23 Leased from others \$.....
- 21.24 Other \$.....
- 22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments?
- Yes [X] No []
- 22.2 If answer is yes:
- 22.21 Amount paid as losses or risk adjustment \$.....
- 22.22 Amount paid as expenses \$.....31,026,950
- 22.23 Other amounts paid \$.....
- 23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?
- Yes [X] No []
- 23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:
- \$.....5,655

INVESTMENT

- 24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03)
- Yes [X] No []
- 24.02 If no, give full and complete information, relating thereto
- 24.03 For securities lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)
- N/A.....
- 24.04 For the reporting entity's securities lending program, report amount of collateral for conforming programs as outlined in the Risk-Based Capital Instructions.
- \$.....0
- 24.05 For the reporting entity's securities lending program, report amount of collateral for other programs.
- \$.....0
- 24.06 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract?
- Yes [] No [] NA [X]
- 24.07 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%?
- Yes [] No [] NA [X]
- 24.08 Does the reporting entity or the reporting entity's securities lending agent utilize the Master Securities Lending Agreement (MSLA) to conduct securities lending?
- Yes [] No [] NA [X]

GENERAL INTERROGATORIES

- 24.09 For the reporting entity's securities lending program, state the amount of the following as of December 31 of the current year:

24.091 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$.....0

24.092 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$.....0

24.093 Total payable for securities lending reported on the liability page

\$.....0
- 25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03).

Yes [X] No []
- 25.2 If yes, state the amount thereof at December 31 of the current year:

25.21 Subject to repurchase agreements

\$.....

25.22 Subject to reverse repurchase agreements

\$.....

25.23 Subject to dollar repurchase agreements

\$.....

25.24 Subject to reverse dollar repurchase agreements

\$.....

25.25 Placed under option agreements

\$.....

25.26 Letter stock or securities restricted as to sale – excluding FHLB Capital Stock

\$.....

25.27 FHLB Capital Stock

\$.....

25.28 On deposit with states

\$.....1,000,722

25.29 On deposit with other regulatory bodies

\$.....

25.30 Pledged as collateral – excluding collateral pledged to an FHLB

\$.....

25.31 Pledged as collateral to FHLB – including assets backing funding agreements

\$.....

25.32 Other

\$.....

25.3 For category (25.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount

- 26.1 Does the reporting entity have any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? If no, attach a description with this statement.

Yes [] No [] N/A []

LINES 26.3 through 26.5: FOR LIFE/FRATERNAL REPORTING ENTITIES ONLY:

- 26.3 Does the reporting entity utilize derivatives to hedge variable annuity guarantees subject to fluctuations as a result of interest rate sensitivity?

Yes [] No [X]
- 26.4 If the response to 26.3 is YES, does the reporting entity utilize:

26.41 Special accounting provision of SSAP No. 108

Yes [] No []

26.42 Permitted accounting practice

Yes [] No []

26.43 Other accounting guidance

Yes [] No []
- 26.5 By responding YES to 26.41 regarding utilizing the special accounting provisions of SSAP No. 108, the reporting entity attests to the following:

Yes [] No []

The reporting entity has obtained explicit approval from the domiciliary state.

Hedging strategy subject to the special accounting provisions is consistent with the requirements of VM-21.

Actuarial certification has been obtained which indicates that the hedging strategy is incorporated within the establishment of VM-21 reserves and provides the impact of the hedging strategy within the Actuarial Guideline Conditional Tail Expectation Amount.

Financial Officer Certification has been obtained which indicates that the hedging strategy meets the definition of a Clearly Defined Hedging Strategy within VM-21 and that the Clearly Defined Hedging Strategy is the hedging strategy being used by the company in its actual day-to-day risk mitigation efforts.
- 27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity?

Yes [] No [X]
- 27.2 If yes, state the amount thereof at December 31 of the current year.

\$.....
28. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping agreements of the NAIC *Financial Condition Examiners Handbook*?

Yes [X] No []
- 28.01 For agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
WELLS FARGO INSTITUTIONAL TRUST SERVICES.....	666 Walnut Street, Des Moines, IA 50309.....

GENERAL INTERROGATORIES

28.02 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year? Yes [] No [X]

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [“...that have access to the investment accounts”; “...handle securities”]

1 Name of Firm or Individual	2 Affiliation
Melissa Holmquist, CEO.....	I.....

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) manage more than 10% of the reporting entity's invested assets? Yes [] No [X]

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [] No [X]

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of “A” (affiliated) or “U” (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed

29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D - Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5 (b) (1)])? Yes [] No [X]

29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
29.2999 TOTAL		0

29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation

GENERAL INTERROGATORIES

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1	2	3
	Statement (Admitted) Value	Fair Value	Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds.....	22,189,163	22,382,862	193,699
30.2 Preferred Stocks.....	0	0	0
30.3 Totals	22,189,163	22,382,862	193,699

30.4 Describe the sources or methods utilized in determining the fair values:

31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes [X] No []

31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? Yes [X] No []

31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:

32.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No []

32.2 If no, list exceptions:

33. By self-designating 5GI securities, the reporting entity is certifying the following elements of each self-designated 5GI security:
a.Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
b.Issuer or obligor is current on all contracted interest and principal payments.
c.The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
Has the reporting entity self-designated 5GI securities? Yes [] No [X]

34. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
a. The security was purchased prior to January 1, 2018.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as an NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
Has the reporting entity self-designated PLGI securities? Yes [] No [X]

35. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
a. The shares were purchased prior to January 1, 2019.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
d. The fund only or predominantly holds bonds in its portfolio.
e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

36. By rolling/renewing short-term or cash equivalent investments with continued reporting on Schedule DA, Part 1 or Schedule E Part 2 (identified through a code (%) in those investment schedules), the reporting entity is certifying to the following:
a. The investment is a liquid asset that can be terminated by the reporting entity on the current maturity date.
b. If the investment is with a nonrelated party or nonaffiliated then it reflects an arms-length transaction with renewal completed at the discretion of all involved parties.
c. If the investment is with a related party or affiliate, then the reporting entity has completed robust re-underwriting of the transaction for which documentation is available for regulator review.
d. Short-term and cash equivalent investments that have been renewed/rolled from the prior period that do not meet the criteria in 36.a -36.c are reported as long-term investments.
Has the reporting entity rolled/renewed short-term or cash equivalent investments in accordance with these criteria? Yes [] No [] NA [X]

OTHER

37.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any? \$0

37.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations, and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid
.....	\$.....
.....	\$.....
.....	\$.....

GENERAL INTERROGATORIES

38.1 Amount of payments for legal expenses, if any? \$0

38.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid
.....	\$
.....	\$
.....	\$

39.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers, or departments of government, if any? \$0

39.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers, or departments of government during the period covered by this statement.

1 Name	2 Amount Paid
.....

7.1: Although the reporting entity is ultimately controlled by Apollo Global Management, Inc., a Delaware limited liability company, and Leon Black, Joshua Harris and Marc Rowan, each of whom is an individual and a citizen of the U.S., there are one or more intermediate entities in the chain of control of the reporting entity that are domiciled outside the U.S. as disclosed in Schedule Y.

GENERAL INTERROGATORIES
PART 2 - HEALTH INTERROGATORIES

1.1 Does the reporting entity have any direct Medicare Supplement Insurance in force? Yes [] No [X]
1.2 If yes, indicate premium earned on U.S. business only. \$0
1.3 What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit? \$
1.31 Reason for excluding
1.4 Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above \$
1.5 Indicate total incurred claims on all Medicare Supplement insurance. \$0
1.6 Individual policies:
Most current three years:
1.61 Total premium earned \$0
1.62 Total incurred claims \$0
1.63 Number of covered lives0
All years prior to most current three years:
1.64 Total premium earned \$0
1.65 Total incurred claims \$0
1.66 Number of covered lives0
1.7 Group policies:
Most current three years:
1.71 Total premium earned \$0
1.72 Total incurred claims \$0
1.73 Number of covered lives0
All years prior to most current three years:
1.74 Total premium earned \$0
1.75 Total incurred claims \$0
1.76 Number of covered lives0

2. Health Test:

Table with 3 columns: Item, 1 Current Year, 2 Prior Year. Rows include Premium Numerator, Premium Denominator, Premium Ratio, Reserve Numerator, Reserve Denominator, and Reserve Ratio.

3.1 Has the reporting entity received any endowment or gift from contracting hospitals, physicians, dentists, or others that is agreed will be returned when, as and if the earnings of the reporting entity permits? Yes [] No [X]
3.2 If yes, give particulars:
4.1 Have copies of all agreements stating the period and nature of hospitals', physicians', and dentists' care offered to subscribers and dependents been filed with the appropriate regulatory agency? Yes [X] No []
4.2 If not previously filed, furnish herewith a copy(ies) of such agreement(s). Do these agreements include additional benefits offered? Yes [] No [X]
5.1 Does the reporting entity have stop-loss reinsurance? Yes [X] No []
5.2 If no, explain:
5.3 Maximum retained risk (see instructions)
5.31 Comprehensive Medical \$350,000
5.32 Medical Only \$
5.33 Medicare Supplement \$
5.34 Dental and Vision \$
5.35 Other Limited Benefit Plan \$
5.36 Other \$
6. Describe arrangement which the reporting entity may have to protect subscribers and their dependents against the risk of insolvency including hold harmless provisions, conversion privileges with other carriers, agreements with providers to continue rendering services, and any other agreements:
7.1 Does the reporting entity set up its claim liability for provider services on a service date basis? Yes [X] No []
7.2 If no, give details
8. Provide the following information regarding participating providers:
8.1 Number of providers at start of reporting year1,943
8.2 Number of providers at end of reporting year2,317
9.1 Does the reporting entity have business subject to premium rate guarantees? Yes [] No [X]
9.2 If yes, direct premium earned:
9.21 Business with rate guarantees between 15-36 months
9.22 Business with rate guarantees over 36 months

GENERAL INTERROGATORIES
PART 2 - HEALTH INTERROGATORIES

- 10.1 Does the reporting entity have Incentive Pool, Withhold or Bonus Arrangements in its provider contracts?

Yes [X] No []
- 10.2 If yes:

10.21 Maximum amount payable bonuses

\$.....

10.22 Amount actually paid for year bonuses

\$.....

10.23 Maximum amount payable withholds

\$.....76,847

10.24 Amount actually paid for year withholds

\$.....40,793
- 11.1 Is the reporting entity organized as:

11.12 A Medical Group/Staff Model,

Yes [] No [X]

11.13 An Individual Practice Association (IPA), or,

Yes [] No [X]

11.14 A Mixed Model (combination of above) ?

Yes [] No [X]
- 11.2 Is the reporting entity subject to Statutory Minimum Capital and Surplus Requirements?

Yes [X] No []
- 11.3 If yes, show the name of the state requiring such minimum capital and surplus.

MICHIGAN.....
- 11.4 If yes, show the amount required.

\$.....19,869,090
- 11.5 Is this amount included as part of a contingency reserve in stockholder's equity?

Yes [] No [X]
- 11.6 If the amount is calculated, show the calculation

200% of RBC authorized control level is required (9,934,545 x 2 = 19,869,090)
12. List service areas in which reporting entity is licensed to operate:

1
Name of Service Area
Chippewa, Mackinac, Luce, Delta, Alger, Marquette, Schoolcraft, Baraga, Houghton, Keweenaw, Gogebic, Ontonagon, Iron, Menominee, and Dickinson Counties.....

- 13.1 Do you act as a custodian for health savings accounts?

Yes [] No [X]
- 13.2 If yes, please provide the amount of custodial funds held as of the reporting date.

\$.....
- 13.3 Do you act as an administrator for health savings accounts?

Yes [] No [X]
- 13.4 If yes, please provide the balance of the funds administered as of the reporting date.

\$.....
- 14.1 Are any of the captive affiliates reported on Schedule S, Part 3 as authorized reinsurers?

Yes [] No [N/A] [X]
- 14.2 If the answer to 14.1 is yes, please provide the following:

1	2	3	4	Assets Supporting Reserve Credit		
				5	6	7
Company Name	NAIC Company Code	Domiciliary Jurisdiction	Reserve Credit	Letters of Credit	Trust Agreements	Other

15. Provide the following for individual ordinary life insurance* policies (U.S. business only) for the current year (prior to reinsurance assumed or ceded).

15.1 Direct Premium Written

\$.....

15.2 Total Incurred Claims

\$.....

15.3 Number of Covered Lives

.....

*Ordinary Life Insurance Includes
Term (whether full underwriting, limited underwriting, jet issue, "short form app")
Whole Life (whether full underwriting, limited underwriting, jet issue, "short form app")
Variable Life (with or without secondary guarantee)
Universal Life (with or without secondary guarantee)
Variable Universal Life (with or without secondary guarantee)

16. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes [] No [X]
- 16.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes [] No [X]

FIVE - YEAR HISTORICAL DATA

	1 2020	2 2019	3 2018	4 2017	5 2016
Balance Sheet (Pages 2 and 3)					
1. Total admitted assets (Page 2, Line 28)	130,638,173	117,054,543	108,190,040	94,472,258	82,642,784
2. Total liabilities (Page 3, Line 24)	63,695,790	48,759,949	52,444,184	39,320,433	37,957,787
3. Statutory minimum capital and surplus requirement	19,869,090	18,601,500	18,791,966	19,369,542	18,196,390
4. Total capital and surplus (Page 3, Line 33)	66,942,383	68,294,593	55,745,856	55,151,825	44,684,997
Income Statement (Page 4)					
5. Total revenues (Line 8)	304,330,702	286,338,893	254,392,784	292,798,610	283,602,602
6. Total medical and hospital expenses (Line 18)	232,656,565	217,344,372	222,448,705	263,537,097	245,734,803
7. Claims adjustment expenses (Line 20)	6,071,018	5,241,076	5,390,585	1,626,829	1,576,489
8. Total administrative expenses (Line 21)	47,262,385	44,518,809	17,002,586	16,517,675	27,829,621
9. Net underwriting gain (loss) (Line 24)	18,340,734	19,234,636	9,550,908	11,117,009	8,461,689
10. Net investment gain (loss) (Line 27)	1,255,732	1,873,703	1,317,224	842,950	415,108
11. Total other income (Lines 28 plus 29)	0	0	0	0	0
12. Net income or (loss) (Line 32)	19,596,466	21,108,339	10,868,132	11,959,959	8,876,797
Cash Flow (Page 6)					
13. Net cash from operations (Line 11).....	29,960,652	34,382,242	1,025,774	13,583,088	(1,643,361)
Risk-Based Capital Analysis					
14. Total adjusted capital.....	66,942,383	68,294,593	55,745,856	55,151,825	44,684,997
15. Authorized control level risk-based capital	9,935,424	9,300,750	9,395,983	9,684,771	9,098,195
Enrollment (Exhibit 1)					
16. Total members at end of period (Column 5, Line 7)	53,839	48,957	48,878	48,579	47,852
17. Total members months (Column 6, Line 7)	613,300	588,014	590,677	588,689	566,438
Operating Percentage (Page 4)					
(Item divided by Page 4, sum of Lines 2, 3, and 5) x 100.0					
18. Premiums earned plus risk revenue (Line 2 plus Lines 3 and 5)	100.0	100.0	100.0	100.0	100.0
19. Total hospital and medical plus other non-health (Lines 18 plus Line 19)	85.9	85.6	88.7	90.1	86.6
20. Cost containment expenses	1.3	1.2	1.2	0.2	0.2
21. Other claims adjustment expenses	0.9	0.9	0.9	0.3	0.4
22. Total underwriting deductions (Line 23)	105.6	105.2	97.6	96.3	97.0
23. Total underwriting gain (loss) (Line 24)	6.8	7.6	3.8	3.8	3.0
Unpaid Claims Analysis					
(U&I Exhibit, Part 2B)					
24. Total claims incurred for prior years (Line 13, Col. 5)	21,474,186	21,539,557	19,448,194	29,045,601	30,707,234
25. Estimated liability of unpaid claims – [prior year (Line 13, Col. 6)]	21,509,196	20,704,087	33,967,416	34,721,253	34,893,058
Investments in Parent, Subsidiaries and Affiliates					
26. Affiliated bonds (Sch. D Summary, Line 12, Col. 1)	0	0	0	0	0
27. Affiliated preferred stocks (Sch. D Summary, Line 18, Col. 1)	0	0	0	0	0
28. Affiliated common stocks (Sch. D Summary, Line 24, Col. 1)	0	0	0	0	0
29. Affiliated short-term investments (subtotal included in Sch. DA Verification, Col. 5, Line 10)	0	0	0	0	0
30. Affiliated mortgage loans on real estate	0	0	0	0	0
31. All other affiliated	0	0	0	0	0
32. Total of above Lines 26 to 31.....	0	0	0	0	0
33. Total investment in parent included in Lines 26 to 31 above					

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3 - *Accounting Changes and Correction of Errors*?.....Yes [] No []

If no, please explain

.....

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Allocated by States and Territories

			1	Direct Business Only							
				2	3	4	5	6	7	8	9
State, Etc.			Active Status (a)	Accident & Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Plan Premiums	Life & Annuity Premiums & Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1.	Alabama	AL	N							0	0
2.	Alaska	AK	N							0	0
3.	Arizona	AZ	N							0	0
4.	Arkansas	AR	N							0	0
5.	California	CA	N							0	0
6.	Colorado	CO	N							0	0
7.	Connecticut	CT	N							0	0
8.	Delaware	DE	N							0	0
9.	District of Columbia	DC	N							0	0
10.	Florida	FL	N							0	0
11.	Georgia	GA	N							0	0
12.	Hawaii	HI	N							0	0
13.	Idaho	ID	N							0	0
14.	Illinois	IL	N							0	0
15.	Indiana	IN	N							0	0
16.	Iowa	IA	N							0	0
17.	Kansas	KS	N							0	0
18.	Kentucky	KY	N							0	0
19.	Louisiana	LA	N							0	0
20.	Maine	ME	N							0	0
21.	Maryland	MD	N							0	0
22.	Massachusetts	MA	N							0	0
23.	Michigan	MI	L		116,510,484	155,564,045				272,074,529	0
24.	Minnesota	MN	N							0	0
25.	Mississippi	MS	N							0	0
26.	Missouri	MO	N							0	0
27.	Montana	MT	N							0	0
28.	Nebraska	NE	N							0	0
29.	Nevada	NV	N							0	0
30.	New Hampshire	NH	N							0	0
31.	New Jersey	NJ	N							0	0
32.	New Mexico	NM	N							0	0
33.	New York	NY	N							0	0
34.	North Carolina	NC	N							0	0
35.	North Dakota	ND	N							0	0
36.	Ohio	OH	N							0	0
37.	Oklahoma	OK	N							0	0
38.	Oregon	OR	N							0	0
39.	Pennsylvania	PA	N							0	0
40.	Rhode Island	RI	N							0	0
41.	South Carolina	SC	N							0	0
42.	South Dakota	SD	N							0	0
43.	Tennessee	TN	N							0	0
44.	Texas	TX	N							0	0
45.	Utah	UT	N							0	0
46.	Vermont	VT	N							0	0
47.	Virginia	VA	N							0	0
48.	Washington	WA	N							0	0
49.	West Virginia	WV	N							0	0
50.	Wisconsin	WI	N							0	0
51.	Wyoming	WY	N							0	0
52.	American Samoa	AS	N							0	0
53.	Guam	GU	N							0	0
54.	Puerto Rico	PR	N							0	0
55.	U.S. Virgin Islands	VI	N							0	0
56.	Northern Mariana Islands	MP	N							0	0
57.	Canada	CAN	N							0	0
58.	Aggregate other alien	OT	XXX	0	0	0	0	0	0	0	0
59.	Subtotal		XXX	0	116,510,484	155,564,045	0	0	0	272,074,529	0
60.	Reporting entity contributions for Employee Benefit Plans		XXX							0	
61.	Total (Direct Business)		XXX	0	116,510,484	155,564,045	0	0	0	272,074,529	0
DETAILS OF WRITE-INS											
58001.			XXX								
58002.			XXX								
58003.			XXX								
58998.	Summary of remaining write-ins for Line 58 from overflow page		XXX	0	0	0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)		XXX	0	0	0	0	0	0	0	0

(a) Active Status Counts
L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG 1 R – Registered – Non-domiciled RRGs 0
E – Eligible – Reporting entities eligible or approved to write surplus lines in the state 0 Q – Qualified – Qualified or accredited reinsurer 0
N – None of the above – Not allowed to write business in the state lines in the state 56

(b) Explanation of basis of allocation of premiums by states, etc.
All of our members reside in the same jurisdiction and we are only licensed in Michigan. Therefore we do not allocate premiums to any other state.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Leon Black, Marc Rowan, Joshua Harris
BRH Holdings GP, Ltd.
AGM Management, LLC
Apollo Global Management, Inc.
APO Asset Co, LLC
Apollo Principal Holdings I GP, LLC
Apollo Principal Holdings I, L.P.
Apollo Capital Management IV, Inc.
Apollo Advisors IV, L.P.
Apollo Investment Fund IV, L.P.
Apollo Overseas Partners IV, L.P.
Apollo Fund Administration IV, L.L.C.
Apollo Capital Management V, Inc
Apollo Advisors V, L.P.
Apollo Investment Fund V, L.P.
Apollo Overseas Partners V, L.P.
Apollo Netherlands Partners V(A), L.P.
Apollo Netherlands Partners V(B), L.P.
Apollo Fund Administration V, L.L.C
Apollo Verwaltungs V GmbH
Apollo German Partners V GmbH & Co.KG
Apollo Capital Management VI, LLC
Apollo Advisors VI, L.P.
Apollo Investment Fund VI, L.P.
Apollo Overseas Partners VI, L.P.
Apollo Overseas Partners (Delaware) VI, L.P.
Apollo Overseas Partners (Delaware 892) VI, L.P.
Apollo Overseas Partners (Germany) VI, L.P.
Apollo Management (Germany) VI, L.P.
Apollo Fund Administration VI, LLC
Apollo Capital Management VII, LLC
Apollo Advisors VII, L.P.
Apollo Investment Fund VII, L.P.
Apollo Investment Fund (I) VII, L.P.

The organizational chart shows the ultimate controlling persons of the reporting entity together with Apollo Global Management, Inc. (“AGM Inc.”), the Apollo Operating Group, subsidiaries of the Apollo Operating Group and the Apollo Funds. The Apollo Operating Group refers to (i) the limited partnerships through which the ultimate controlling persons currently operate Apollo’s business and (ii) one or more limited partnerships formed for the purposes of, among other activities, holding certain of Apollo’s gains or losses on its principal investments in the Apollo Funds. The Apollo Funds refers to the funds, alternative asset companies and other entities that are managed by the Apollo Operating Group. The organizational chart does not include subsidiaries or alternative investment vehicles of the Apollo Funds, which are included in Part 1A. Pursuant to a disclaimer of affiliation filed with the domiciliary insurance department of the reporting entity, the organizational chart also does not include any (i) personal investment entities of AGM Inc.’s controlling individuals, Leon Black, Joshua Harris and Marc Rowan, or (ii) portfolio companies, other than the following portfolio companies that control a United States domiciled insurer (excluding a captive insurer of a portfolio company) and their respective subsidiaries: Aspen Insurance Holdings Limited; Athene Holding Ltd.; Catalina Holdings (Bermuda) Ltd.; LifePoint Health, Inc.; OneMain Holdings, Inc.; and VA Capital Company LLC.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo Overseas Partners VII, L.P.
Apollo Overseas Partners (I) VII, L.P.
Apollo Overseas Partners (Delaware) VII, L.P.
Apollo Overseas Partners (Delaware 892) VII, L.P.
Apollo Investment Fund (PB) VII, L.P.
Apollo Fund Administration VII, LLC
AGRE CMBS GP II LLC
2012 CMBS-I GP LLC
2012 CMBS-I Fund, L.P.
2012 CMBS-II GP LLC
2012 CMBS-II Fund, L.P.
2012 CMBS III GP LLC
2012 CMBS-III Fund, L.P.
AGRE CMBS GP LLC
Apollo ANRP Capital Management, LLC
Apollo ANRP Advisors, L.P.
Apollo ANRP Fund Administration, LLC
Apollo Natural Resources Partners, L.P.
Apollo Converse Co-Investors, LLC
VA Capital Company LLC
Venerable Holdings, Inc.
Venerable Insurance and Annuity Company
Rocky Range, Inc.
Directed Services LLC
VIAC Services Company
VA Capital Management CIV GP, LLC
VA Capital Management Co-Investors, L.P.
APH I (Sub I), Ltd.
APH Holdings, L.P.
ACC Advisors A/B, LLC
Apollo ANRP Capital Management II, LLC
Apollo ANRP Advisors II, L.P.
Apollo Natural Resources Partners II, L.P.
AP Boardwalk GP, LLC
Apollo ANRP Co-Investors II (B), L.P.
Apollo ANRP Capital Management III, LLC
Apollo ANRP Advisors III, L.P.
Apollo Natural Resources Partners (Lux) III GP, S.a r.l.
Apollo Natural Resources Partners (Lux) III, SCSp
Apollo Natural Resources Partners III, L.P.
Apollo ANRP Co-Investors III (B), L.P.
Apollo ANRP Advisors III (P2), L.P.
Apollo Natural Resources Partners (P2) III, L.P.
Apollo POL
Apollo Hybrid Value Advisors, L.P.
Apollo HVF Co-Investors (B), L.P.
Apollo HVF Co-Investors (C), L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

- Apollo Hybrid Value Overseas Partners (Lux) GP, S.a r.l.
 - Apollo Hybrid Value Overseas Partners (Lux), SCSp
 - Apollo Hybrid Value Overseas Partners (Delaware 892), L.P.
 - Apollo Hybrid Value Overseas Partners, L.P.
 - Apollo Hybrid Value Fund, L.P.
 - AA Direct GP, LLC
 - AA Direct, L.P.
 - AP AL Holdings GP, LLC
 - AP Call Advisors, LLC
- Apollo U.S. Real Estate Advisors GP III, LLC
 - Apollo U.S. Real Estate Advisors III, L.P.
 - Apollo U.S. Real Estate Partners III (Offshore), L.P.
 - Apollo U.S. Real Estate Partners III (TE), L.P.
 - Apollo U.S. Real Estate Fund III, L.P.
 - Apollo U.S. Real Estate Fund III (Lux) GP, S.a r.l.
 - Apollo U.S. Real Estate Fund III (Lux) SCSp
 - Apollo U.S. Real Estate Fund III Acquisitions, LLC
- Apollo Special Situations Advisors GP, LLC
 - Apollo Special Situations Advisors, L.P.
 - Apollo Special Situations Fund, L.P.
- Apollo Capital Management VIII, LLC
 - Apollo Advisors VIII, L.P.
 - Apollo Fund Administration VIII, LLC
 - Apollo Investment Fund VIII, L.P.
 - Apollo Investment Fund (I) VIII, L.P.
 - Apollo Overseas Partners VIII, L.P.
 - Apollo Overseas Partners (I) VIII, L.P.
 - Apollo Overseas Partners (Delaware) VIII, L.P.
 - Apollo Overseas Partners (Delaware 892) VIII, L.P.
- Apollo Capital Management IX, LLC
 - Apollo Advisors IX, L.P.
 - Apollo Advisors IX (EH), S.a r.l.
 - Apollo Fund Administration IX, LLC
 - Apollo Investment Fund IX, L.P.
 - Apollo Overseas Partners (Delaware) IX, L.P.
 - Apollo Overseas Partners (Delaware 892) IX, L.P.
 - Apollo Overseas Partners IX, L.P.
 - Apollo Overseas Partners (I) IX, L.P.
 - Apollo Co-Investors IX (B), L.P. Apollo Investment Fund (I) IX, L.P.
 - Apollo Co-Investors IX (C), L.P.
 - Apollo Overseas Partners (Lux) IX GP, S.a r.l.
 - Apollo Overseas Partners (Lux) IX, SCSp
 - AP IX GenPar, LLC
- APH I Holdings - Wednesday Sub (Cayman), LLC
- Apollo Principal Holdings III GP, Ltd.
 - Apollo Principal Holdings III, L.P.
 - Apollo Administration GP Ltd.
 - Stanhope Life Advisors, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo Advisors V (EH), LLC
 Apollo Advisors V (EH Cayman), L.P.
Apollo Advisors VI (EH-GP), Ltd.
 Apollo Advisors VI (EH), L.P.
Apollo Advisors VII (EH-GP), Ltd.
 Apollo Advisors VII (EH), L.P.
 AAA Life Re Carry, L.P.
Apollo ANRP Advisors (IH-GP), LLC
 Apollo ANRP Advisors (IH), L.P.
Financial Credit I Capital Management, LLC
 Financial Credit Investment Advisors I, L.P.
Apollo SPN Capital Management, LLC
 Apollo SPN Advisors, L.P.
 Apollo SPN Investments I, L.P.
AAA Guernsey Limited
AAA MIP Limited
 AAA Associates, L.P.
 AAA Investments (Co-Invest VI), L.P.
 AAA Guarantor – Athene, L.P.
APSG Advisors GP, LLC
 APSG Advisors, L.P.
 APSG Advisors II, L.P.
 APSG Advisors III, L.P.
 APSG Advisors IV, L.P.
Delaware Rose GP L.L.C
 Apollo Rose GP, L.P.
 Apollo Rose II Co-Investors (H), L.P.
 Apollo Rose II (A), L.P.
 Apollo Rose II (B), L.P.
 Apollo Rose II (C), L.P.
 Apollo Rose II (D), L.P.
 Apollo Rose II (E), L.P.
 Apollo Rose II (F), L.P.
 Apollo Rose II (G), L.P.
 Catalina Holdings (Bermuda) Ltd.
 Avalon Sports Finance Limited
 Asia Capital Reinsurance Group PTE. Ltd.
 Asia Capital Reinsurance Malaysia SDN BHD
 Propco (Telford) Limited
 ACR ReTakaful Holdings Ltd. UAE
 ACR ReTakaful Berhad
 Catalina Echo Limited
 Residential Loss Control Holdings LLC
 Home Construction Management Inc
 National Home Insurance Company (A Risk Retention Group)
 ProBuilders Specialty Insurance Company, RRG
 Glacier Reinsurance AG
 Alea Services AG

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

- PART 1 – ORGANIZATIONAL CHART
 - Catalina Foxtrot Holdings Limited
 - Catalina Insurance Ireland dac
 - Catalina ORE Ltd
 - Oxenwood Capital LP
 - Oxenwood Real Estate Capital LP
 - Propco (Swansea) Limited
 - Catalina Alpha Ltd
 - Alea Group Holdings (Bermuda) Ltd.
 - FIN LLC
 - Alea Holdings US Company
 - Alea North America Insurance Co
 - SPARTA Insurance Company
 - Catalina U.S. Insurance Services LLC
 - National American Insurance Company of California
 - QLT Buffalo LLC
 - AHUSCO Statutory Trusts I
 - AHUSCO Statutory Trusts II
 - AHUSCO Statutory Trusts III
 - Catalina General Insurance Ltd.
 - Catalina Oxenwood Real Estate II Ltd
 - OXW Capital LP
 - Oxenwood Real Estate LLP
 - OXW Partners LP
 - Catalina Oxenwood European Investments Ltd
 - Oxenwood Catalina III Limited
 - Oxenwood Catalina Germany Holdco S.à.r.l.
 - Oxenwood Catalina (Germany I) S.à.r.l.
 - Oxenwood Catalina (Germany II) S.à.r.l.
 - Oxenwood Catalina (Germany III) S.à.r.l.
 - Oxenwood Catalina (Germany IV) S.à.r.l.
 - Oxenwood Catalina (Germany V) S.à.r.l.
 - Oxenwood Catalina Poland Holdco S.à.r.l.
 - PDC Industrial Center 87 sp z.o.o
 - Catalina Permian Ltd
 - Propco (Newport) Limited
 - Catalina Acra Zurich Reinsurance Trust
 - Catalina Oxenwood Investments Ltd
 - OXW Catalina UK Limited
 - OXW Catalina (Logistics XVII) Limited
 - OXW Catalina (Logistics XXV) Limited
 - OXW Catalina (Logistics XXVI) Limited
 - OXW Catalina UK Midco Limited
 - OXW Catalina (Logistics X) Limited
 - OXW Catalina (Logistics XI) Limited
 - OXW Catalina (Logistics XII) Limited
 - OXW Catalina (Logistics XIII) Limited
 - OXW Catalina (Logistics XIV) Limited
 - OXW Catalina (Logistics XV) Limited

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

OXW Catalina (Logistics XVI) Limited
OXW Catalina (Logistics XVIII) Limited
OXW Catalina (Logistics XIX) Limited
OXW Catalina (Logistics XX) Limited
OXW Catalina (Logistics XXI) Limited
OXW Catalina (Logistics XXII) Limited
OXW Catalina (Logistics XXIII) Limited
OXW Catalina (Logistics XXIV) Limited
Catalina Holdings UK Limited
Catalina Worthing Insurance Limited
Catalina Services UK Limited Catalina
London Limited
AGF Insurance Limited
Apollo Rose II (I), L.P.
APH III Holdings - Wednesday Sub (Cayman), LLC
APH Holdings, L.P.
Apollo Advisors IX (EH-GP), LLC
Apollo Advisors IX (EH), L.P.
AIF IX International Holdings, L.P.
AP Highlands Holdings (GP), LLC
AP Highlands Holdings, L.P.
Highlands Holdings, Ltd.
Aspen Insurance Holdings Limited
Aspen Insurance Ireland Holdings Limited
Aspen Bermuda Limited
Aspen (UK) Holdings Limited
Aspen Recoveries Limited
Aspen Risk Management Limited
Aspen UK Syndicate Services Limited
APJ Asset Protection Jersey Limited
Aspen U.S. Holdings, Inc.
Aspen American Insurance Company
Aspen Specialty Insurance Company
Aspen Insurance U.S. Services Inc.
Aspen Specialty Insurance Management, Inc.
Aspen Specialty Insurance Solutions, LLC
Aspen Re America, Inc.
Digital Risk Resources, LLC
Aspen Insurance UK Services Limited
AIUK Trustees Limited
Aspen European Holdings Limited
Aspen Insurance UK Limited
Acorn Limited
Blue Waters Insurers, Corp.
Aspen Managing Agency Limited
Aspen Singapore Pte. Limited
Aspen Underwriting Limited
Silverton Re Ltd.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

- Aspen (US) Holdings Limited
 - Aspen Capital Advisors Inc.
 - Aspen Capital Management Limited
 - Peregrine Re Ltd
 - Aspen Cat Fund Limited
- Harvest Holdings II GP, LLC
 - Harvest Holdings II (C), L.P.
 - Harvest Holdings II (V), L.P.
 - Karpos Investments II (C), L.P.
 - Karpos Investments II (V), L.P.
- Apollo Infra Equity Advisors (IH-GP), LLC
 - Apollo Infra Equity Advisors (IH UT), L.P.
 - Apollo Infra Equity Advisors (IH), L.P.
 - Apollo Infra Equity International Fund, L.P.
 - Apollo Infra Equity Feeder Fund (TE Debt), L.P.
- Apollo FIG Carry Pool Aggregator GP, LLC
 - Apollo FIG Carry Pool Aggregator, L.P.
 - Apollo FIG Carry Pool Intermediate (FC), L.P.
 - Apollo FIG Carry Pool Intermediate, L.P.
- Apollo Advisors VIII (EH-GP), Ltd.
 - Apollo Advisors VIII (EH), L.P.
 - Apollo Co-Investors VIII (EH-E), L.P.
- Financial Credit II Capital Management, LLC
 - Financial Credit Investment Advisors II, L.P.
 - Financial Credit Investment II, L.P.
- Financial Credit III Capital Management, LLC
 - Financial Credit Investment Advisors III, L.P.
 - Financial Credit Investment III, L.P.
- Financial Credit IV Capital Management, LLC
 - Financial Credit Investment Advisors IV, L.P.
 - Financial Credit Investment IV, L.P.
 - Financial Credit Investment (PF) IV, L.P.
 - Financial Credit Investment IV (Feeder), L.P.
- Apollo ADIP Capital Management, LLC
 - Apollo ADIP Advisors, L.P.
 - Apollo/Athene Dedicated Investment Program (A), L.P.
 - Apollo/Athene Dedicated Investment Program (B), L.P.
 - Apollo/Athene Dedicated Investment Program (C), L.P.
 - Apollo/Athene Dedicated Investment Program (D), L.P.
 - Apollo/Athene Dedicated Investment Program (E), L.P.
 - Apollo ADIP (Lux) GP, S.a r.l.
 - Apollo/Athene Dedicated Investment Program (Lux), SCSp
- Apollo Hybrid Value Capital Management II, LLC
 - Apollo Hybrid Value Advisors II, L.P.
 - Apollo Hybrid Value Overseas Partners II, L.P.
 - Apollo Hybrid Value Overseas Partners (Delaware 892) II, L.P.
 - Apollo Hybrid Value Fund II, L.P.
 - Apollo HVF Co-Investors II (B), L.P.

| SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP | |
|--|---|
| PART 1 – ORGANIZATIONAL CHART | |
| | <ul style="list-style-type: none"> <ul style="list-style-type: none"> Apollo Hybrid Value Overseas Partners (Lux) GP II, S.a r.l. <ul style="list-style-type: none"> Apollo Hybrid Value Overseas Partners (Lux) II, SCSp <ul style="list-style-type: none"> Apollo Grizzly Bear Co-Invest Ultimate GP, LLC |
| UFL Advisors GP, LLC | <ul style="list-style-type: none"> <ul style="list-style-type: none"> UFL Advisors, L.P. <ul style="list-style-type: none"> Athora UFL Investor, L.P. Athene UFL Investor, L.P. |
| AA IX Holdings, LLC | |
| Apollo Impact Mission Capital Management, LLC | <ul style="list-style-type: none"> <ul style="list-style-type: none"> Apollo Impact Mission Advisors, L.P. <ul style="list-style-type: none"> Apollo Impact Mission Overseas Partners (Delaware 892), L.P. Apollo Impact Mission Fund, L.P. Apollo Impact Mission Overseas Partners (Lux) GP, S.a r.l. <ul style="list-style-type: none"> Apollo Impact Mission Overseas Partners (Lux), SCSp |
| Apollo Principal Holdings V GP, LLC | |
| Apollo Principal Holdings V, L.P. | |
| APH Holdings, L.P. | |
| APH V Holdings - Wednesday Sub (Cayman), LLC | |
| APO (FC), LLC | |
| Apollo Principal Holdings VII GP, Ltd. | |
| Apollo Principal Holdings VII, L.P. | <ul style="list-style-type: none"> <ul style="list-style-type: none"> Apollo European Credit Co-Investors, LLC Apollo European Strategic Co-Investors, LLC Apollo COF Investor, LLC Apollo Europe Co-Investors III (D), LLC Apollo Energy Opportunity Co-Investors (D) LLC Apollo Energy Yield Co-Investors (D) LLC Redding Ridge Advisors LLC <ul style="list-style-type: none"> Redding Ridge Holdings LP Apollo Advisors VI (APO FC-GP), LLC <ul style="list-style-type: none"> Apollo Advisors VI (APO FC), L.P. Apollo Advisors VII (APO FC-GP), LLC <ul style="list-style-type: none"> Apollo Advisors VII (APO FC), L.P. Apollo ANRP Advisors (APO FC-GP), LLC <ul style="list-style-type: none"> Apollo ANRP Advisors (APO FC), L.P. Apollo AGRE Prime Co-Investors (D), LLC Apollo Capital Efficient Advisors, LLC RRH Asset Management CIV GP, LLC RRH Asset Management Co-Investors, L.P. APH Holdings (FC), L.P. <ul style="list-style-type: none"> Apollo Hercules Advisors GP, LLC <ul style="list-style-type: none"> Apollo Hercules Advisors, L.P. <ul style="list-style-type: none"> Apollo Hercules Partners, L.P. Apollo A-N Credit Advisors (APO FC-GP), LLC <ul style="list-style-type: none"> Apollo A-N Credit Advisors (APO FC Delaware), L.P. <ul style="list-style-type: none"> Apollo A-N Credit Fund (Delaware), L.P. Apollo Atlas Advisors (APO FC-GP), LLC <ul style="list-style-type: none"> Apollo Atlas Advisors (APO FC), L.P. |

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Apollo Atlas Fund, LLC
 Apollo Atlas Master Fund, LLC
 Apollo Navigator Capital Management I, LLC
 Apollo Navigator Advisors I, L.P.
 Apollo Navigator Aviation Fund I, L.P.
 Apollo Navigator Aviation Offshore Fund I, L.P.
 ACC Advisors C, LLC
 Apollo Oasis Advisors GP, LLC
 Apollo Oasis Advisors, L.P.
 Apollo Oasis Partners, L.P.
 Apollo European MMPDF (B) Cayman GP, LLC
 Apollo European MMPDF (B) Cayman, L.P.
 Apollo HD Advisors GP, LLC
 Apollo HD Advisors, L.P.
 Athene HD Investor, L.P.
 HD Finance Holdings Limited
 HD Bidco Limited
 Athora HD Investor, L.P.
 HD Finance Holdings Limited
 Apollo Energy Opportunity Advisors GP LLC
 Apollo Energy Opportunity Advisors LP
 Apollo Energy Opportunity Fund LP
 Apollo Alteri Investments Advisors II, S.a r.l.
 Alteri Investments II, SCSp
 Apollo Alteri Holdings II, SCSp
 Apollo Credit TALF Capital Management, LLC
 Apollo Credit TALF Advisors, L.P.
 Apollo Credit TALF Investment Vehicle, L.P.
 Apollo Humber Advisors GP, LLC
 Apollo Humber Advisors, L.P.
 Apollo Humber Partners, L.P.
 APH VII Holdings - Wednesday Sub (Cayman), LLC
 Apollo Principal Holdings IX GP, Ltd.
 Apollo Principal Holdings IX, L.P.
 Apollo Asia Real Estate Co-Investors (FC-D), Ltd.
 AION Co-Investors (D) Ltd
 APH Funding 2, LLC
 Apollo Structured Credit Recovery Co-Investors IV (D) LLC
 APH Funding 2, LLC
 Apollo Asia Real Estate Advisors GP, LLC
 Apollo Asia Real Estate Advisors, L.P.
 Apollo Asia Real Estate Fund, L.P.
 Apollo Asia Real Estate AAC Advisors, L.P.
 Apollo Asia Real Estate AAC Fund, L.P.
 Apollo Asia Link Coinvestment Advisors, L.P.
 Apollo Asia Link Coinvestment Fund I, L.P.
 Apollo Asia Hurstville Co-Investment Advisors L.P.
 Apollo Asia Hurstville Co-Investment Fund L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- Apollo Athora Advisors GP, LLC
 - Apollo Athora Advisors, L.P.
- Apollo AGER Co-Investors Management, LLC
 - Apollo AGER Co-Investors (A-UK), L.P.
 - Apollo AGER Co-Investors (A), L.P.
 - Apollo (Athora) Co-Investors (A-UK), L.P.
 - Apollo (Athora) Co-Investors (A), L.P.
- Apollo Emerging Markets Fixed Income Strategies Advisors GP, LLC
- Apollo European Long Short Advisors GP, LLC
- Apollo Alternative Credit Long Short Advisors LLC
 - Apollo Alternative Credit Long Short Fund, L.P.
- Apollo SPN Capital Management (APO FC-GP), LLC
 - Apollo SPN Advisors (APO FC), L.P.
- Apollo Credit Advisors I, LLC
 - Apollo/Palmetto Loan Portfolio, L.P.
- Apollo Credit Advisors II, LLC
- Apollo Credit Advisors III, LLC
- Apollo APC Capital Management, LLC
 - Apollo APC Advisors, L.P.
 - A-A Asia Private Credit Fund (Feeder), L.P.
 - Apollo Asia Private Credit Fund, L.P.
- Apollo IPF Advisors, LLC
 - India Property Fund, LP
 - India Property Fund Cayman, L.P.
 - India Property Fund, LLC
 - India Property Fund II LP
 - India Property Fund II Cayman LP
 - India Property Fund Cayman PS, L.P.
 - IPF II Co-Invest Cayman Master LP
 - IPF II Co-Invest BPS Cayman LP
 - IPF II Co-Invest Cayman LP
 - India Property Fund II Cayman BPS, L.P.
- Apollo Tail Convexity Advisors, LLC
- MMJV LLC
 - MMJV LP
- APH Holdings (FC), L.P.
 - Apollo Europe Capital Management III, LLC
 - Apollo Europe Advisors III, L.P.
 - AP Investment Europe III, L.P.
 - Apollo Union Street Capital Management, LLC
 - Apollo Union Street Advisors, L.P.
 - Apollo Union Street Partners, L.P.
 - Apollo Energy Yield Advisors LLC
 - Apollo Structured Credit Recovery Advisors III LLC
 - AP Kent Advisors GP, LLC
 - AP Kent Advisors, L.P.
 - AP Kent Credit Fund, L.P.
 - AP Kent Credit Master Fund, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

- Apollo EPF Capital Management, Limited
 - Apollo EPF Advisors, L.P.
 - Apollo European Principal Finance Fund (Feeder), L.P.
 - Apollo European Principal Finance Fund, L.P.
 - Apollo EPF Administration, Limited
 - Apollo EPF Co-Investors (A), L.P.
 - Apollo EPF Co-Investors (B), L.P.
 - Apollo EPF Advisors II, L.P.
 - Apollo European Principal Finance Fund II (Dollar A), L.P.
 - Apollo European Principal Finance Fund II (Euro A), L.P.
 - Apollo European Principal Finance Fund II (Dollar B), L.P.
 - Apollo European Principal Finance Fund II (Master Dollar B), L.P.
 - Apollo European Principal Finance Fund II (Euro B), L.P.
 - Apollo European Principal Finance Fund II (Master Euro B), L.P.
- Apollo EPF II Capital Management, LLC
- Apollo EPF III Capital Management, LLC
 - Apollo EPF Advisors III, L.P.
 - Apollo European Principal Finance Fund III (Dollar A), L.P.
 - Apollo European Principal Finance Fund III (Master Dollar B), L.P.
 - Apollo European Principal Finance Fund III (Master Euro B), L.P.
 - Apollo European Principal Finance Fund III (Dollar B), L.P.
 - Apollo European Principal Finance Fund III (Euro B), L.P.
- Apollo Tower Credit Advisors, LLC
 - Apollo Tower Credit Fund, L.P.
- ANRP II GenPar, Ltd.
- Apollo Kings Alley Credit Capital Management, LLC
 - Apollo Kings Alley Credit Advisors, L.P.
 - Apollo Kings Alley Credit Fund, L.P.
- Apollo Accord Advisors, LLC
 - Apollo Accord Fund, L.P.
 - Apollo Accord Master Fund, L.P.
 - Apollo Accord Offshore Fund, L.P.
- Apollo Credit Opportunity Advisors III (APO FC) GP LLC
 - Apollo Credit Opportunity Advisors III (APO FC) LP
 - Apollo Credit Opportunity Fund (Offshore) III LP
- Apollo SB Advisors, LLC
- Apollo COF I Capital Management, LLC
 - Apollo Credit Opportunity Advisors I, L.P.
 - Apollo Credit Opportunity CM Executive Carry I, L.P.
- Apollo COF II Capital Management, LLC
 - Apollo Credit Opportunity Advisors II, L.P.
 - Apollo Credit Opportunity Fund II, L.P.
 - Apollo Credit Opportunity CM Executive Carry II, L.P.
- Apollo European Credit Advisors GP, LLC
 - Apollo European Credit Advisors, L.P.
 - Apollo European Credit Master Fund, L.P.
 - Apollo European Credit Fund, L.P.
 - Apollo European Credit Fund (Offshore), L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

- Apollo European Strategic Advisors GP, LLC
 - Apollo European Strategic Advisors, L.P.
 - Apollo European Strategic Investments, L.P.
 - Apollo European Strategic Investments (Subsidiary), Ltd.
 - Apollo European Strategic Investments (Holdings), L.P.
- Apollo European Senior Debt Advisors, LLC
 - A-A European Senior Debt Fund, L.P.
- Apollo AION Capital Partners GP, LLC
 - Apollo AION Capital Partners, L.P.
- Apollo India Partners II GP (KY), LLC
 - Apollo India Partners II (KY), L.P.
 - AION Capital Partners II (Lux) GP, S.a r.l.
- Apollo Structured Credit Recovery Advisors IV LLC
 - Apollo Structured Credit Recovery Fund IV LP
 - Apollo Structured Credit Recovery Master Fund IV LP
 - Apollo Offshore Structured Credit Recovery Fund IV Ltd.
- Apollo Delos Investments Advisors, S.a r.l.
 - Apollo Delos Investments III, SCSp
 - Apollo Delos Investments IV, SCSp
- Apollo Accord Advisors GP II, LLC
 - Apollo Accord Advisors II, L.P.
 - Apollo Accord Master Fund II, L.P.
 - Apollo Accord Offshore Fund II, L.P.
 - Apollo Accord Fund II, L.P.
- Apollo Chiron Advisors GP, LLC
 - Apollo Chiron Advisors, L.P.
 - Apollo Chiron Credit Fund, L.P.
- Apollo PPF Advisors GP, LLC
 - Apollo PPF Advisors, L.P.
 - Apollo PPF (Lux) GP, S.a r.l.
 - Apollo PPF Opportunistic Credit Partners (Lux), SCSp
- Apollo Accord Advisors GP III, LLC
 - Apollo Accord Advisors III, L.P.
 - Apollo Accord Master Fund III, L.P.
 - Apollo Accord Offshore Fund III, L.P.
 - Apollo Accord Fund III, L.P.
 - Apollo Accord Advisors GP IV, LLC
 - Apollo Accord Advisors IV, L.P.
 - Apollo Accord Fund IV, L.P.
 - Apollo Accord IV Aggregator B, L.P.
 - Apollo Accord IV Aggregator A, L.P.
 - Apollo Accord Offshore Fund IV, L.P.
 - Apollo Accord Fund IV (Lux) GP, S.a r.l.
 - Apollo Accord Fund IV (Lux), SCSp
 - Apollo Accord Offshore Fund IV (Feeder A), LLC
 - Apollo Accord Advisors GP III B, LLC
 - Apollo Accord Advisors III B, L.P.
 - Apollo Accord Fund III B, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Apollo Accord Master Fund III B, L.P.
 Apollo Accord Offshore Fund III B, L.P.
 Apollo Asia Real Estate Advisors II GP, LLC
 Apollo Asia Real Estate Advisors II, L.P.
 Apollo Asia Real Estate Fund II (Lux) GP, S.a r.l.
 Apollo Asia Real Estate Fund II (Lux), SCSp
 Apollo Asia Real Estate Fund II, L.P.
 Apollo Asia Real Estate Intermediate Fund II, L.P.
 Apollo Asia Real Estate II Overseas Partners, L.P.
 Apollo Asia Real Estate Fund II Administration, LLC
 APH IX Holdings - Wednesday Sub (Cayman), LLC
 Apollo India Partners II Co-Investors (D) Limited
 Apollo/Athora Preferred Share Partnership Management, LLC
 Apollo/Athora Preferred Share Partnership (UA), L.P.
 Apollo/Athora Preferred Share Partnership, L.P.
 Apollo Athora KG Management, LLC
 Apollo Athora KG Partnership, L.P.
 APO (FC II), LLC
 Apollo Principal Holdings X GP, Ltd.
 Apollo Principal Holdings X, L.P.
 Apollo MidCap Holdings (Cayman) GP, Ltd.
 Apollo Midcap Holdings (Cayman), L.P.
 APH X Holdings - Wednesday Sub (Cayman), LLC
 APO UK (FC), Limited
 Apollo Principal Holdings XI, LLC
 AAME UK CM, LLC
 Apollo Investment Management Europe LLP
 Apollo Asset Management Europe LLP
 Apollo Asset Management Europe PC LLP
 APH XI Holdings - Wednesday Sub (Cayman), LLC
 APO (FC III), LLC
 Apollo Principal Holdings XII GP, LLC
 Apollo Principal Holdings XII, L.P.
 APH Holdings (FC), L.P.
 Apollo ST Advisors, LLC
 Apollo Revolver Capital Management, LLC
 Apollo Revolver Advisors, L.P.
 Apollo Revolver Fund, L.P.
 Apollo Revolver Feeder Fund, L.P.
 Apollo Hybrid Value Advisors (APO FC-GP), LLC
 Apollo Hybrid Value Advisors (APO FC), L.P.
 Apollo PPF Credit Strategies Advisors GP, LLC
 Apollo PPF Credit Strategies Advisors, L.P.
 Apollo PPF Credit Strategies (Lux) GP, S.a r.l.
 Apollo PPF Credit Strategies (Lux), SCSp
 APH XII Holdings - Wednesday Sub (Cayman), LLC
 APO Corp Holdings (2P DC), Inc.
 APO Corp (Holdings Parent), L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- APO Corp.
 - Apollo Principal Holdings II GP, LLC
 - Apollo Principal Holdings II, L.P.
 - Apollo Credit Short Opportunities Advisors, LLC
 - Apollo Credit Opportunity Advisors III GP LLC
 - Apollo Credit Opportunity Advisors III LP
 - Apollo Credit Opportunity Fund III LP
 - Apollo ST CLO Holdings GP, LLC
 - Apollo ST CLO Holdings, L.P.
 - ST Holdings GP, LLC
 - Apollo ST Credit Strategies GP LLC
 - Apollo Credit Strategies Fund LP
 - Apollo ST Credit Partners GP LLC
 - Apollo Credit Fund LP
 - Apollo ST Structured Credit Recovery Partners II GP LLC
 - Apollo Structured Credit Recovery Fund II LP
 - Apollo Incubator Advisors, LLC
 - Apollo Asia Capital Management, LLC
 - Apollo Asia Advisors, L.P.
 - Apollo Asia Administration, LLC
 - Apollo Asia Opportunity Master Fund, L.P.
 - Apollo Asia Opportunity Fund, L.P.
 - APH Holdings (DC), L.P.
 - Apollo Structured Credit Recovery Advisors III (APO DC) LLC
 - Apollo Lincoln Fixed Income Advisors (APO DC-GP), LLC
 - Apollo Lincoln Fixed Income Advisors (APO DC), L.P.
 - Apollo Lincoln Fixed Income Fund, L.P.
 - Apollo Lincoln Private Credit Advisors (APO DC-GP), LLC
 - Apollo Lincoln Private Credit Advisors (APO DC), L.P.
 - Apollo Lincoln Private Credit Fund, L.P.
 - Apollo Energy Opportunity Advisors (APO DC) GP LLC
 - Apollo Energy Opportunity Advisors (APO DC) LP
 - Apollo Franklin Advisors (APO DC-GP), LLC
 - Apollo Franklin Advisors (APO DC), L.P.
 - Apollo Franklin Partnership, L.P.
 - Apollo Tower Credit Advisors (DC-GP), LLC
 - Apollo Tower Credit Advisors (DC), L.P.
 - Apollo SVF Capital Management, LLC
 - Apollo SVF Advisors, L.P.
 - Apollo SVF Administration, LLC
 - Apollo Value Capital Management, LLC
 - Apollo Value Advisors, L.P.
 - Apollo Value Administration, LLC
 - Apollo Value Investment Offshore Fund, Ltd.
 - Apollo Value Investment Fund, L.P.
 - ACE Credit Advisors GP, LLC
 - ACE Credit Advisors, LP
 - ACE Credit Fund, LP

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- Apollo Global Carry Pool GP, LLC
 - Apollo Global Carry Pool Aggregator, L.P.
 - Apollo Global Carry Pool Aggregator II, L.P.
 - Apollo Global Carry Pool Intermediate, L.P.
 - Apollo Global Carry Pool Intermediate (DC), L.P.
 - Apollo Global Carry Pool Intermediate (FC), L.P.
- Apollo Structured Credit Recovery Advisors IV (APO DC) LLC
- Apollo SOMA Capital Management, LLC
 - Apollo SOMA Advisors, L.P.
 - Apollo Special Opportunities Managed Account, L.P.
- Apollo Credit Liquidity Capital Management, LLC
 - Apollo Credit Liquidity Advisors, L.P.
 - Apollo Credit Liquidity Fund, L.P.
 - Apollo Credit Liquidity CM Executive Carry, L.P.
- Apollo Credit Income Advisors LLC
- Apollo Union Street SPV Advisors, LLC
- Apollo Kings Alley Credit Advisors (DC-GP), LLC
 - Apollo Kings Alley Credit Advisors (DC), L.P.
- Apollo Centre Street Advisors (APO DC-GP), LLC
 - Apollo Centre Street Advisors (APO DC), L.P.
 - Apollo Centre Street Partnership, L.P.
- Apollo Total Return ERISA Advisors GP LLC
 - Apollo Total Return ERISA Advisors, L.P.
 - Apollo Total Return ERISA Fund LP
- APH II Holdings - Wednesday Sub (Cayman), LLC
- Apollo Principal Holdings IV GP, Ltd.
 - Apollo Principal Holdings IV, L.P.
 - Apollo Palmetto Management, LLC
 - Apollo Palmetto Advisors, L.P.
 - Apollo Palmetto Strategic Partnership, L.P.
 - Palmetto Athene Holdings (Cayman), L.P.
 - Apollo Palmetto Athene Partnership, L.P.
 - Apollo Palmetto Athene Advisors, L.P.
 - Apollo Thunder Co-Investors (D), LLC
 - AES Co-Investors II, LLC
 - Apollo Special Situations Advisors (APO DC-GP), LLC
 - Apollo Special Situations Advisors (APO DC), L.P.
 - Apollo Asia Real Estate Advisors (APO DC-GP), LLC
 - Apollo Asia Real Estate Advisors (APO DC), L.P.
 - Apollo AION Capital Partners (APO DC-GP), LLC
 - Apollo AION Capital Partners (APO DC), L.P.
 - APH Holdings (DC), L.P.
 - Apollo/Cavenham EMA Capital Management II, LLC
 - Apollo/Cavenham EMA Advisors II, L.P.
 - Apollo/Cavenham European Managed Account II, L.P.
 - Apollo Multi-Credit Fund GP (Lux) S.a r.l.
 - Apollo Multi-Credit Fund (Lux) SCSp SICAV-RAIF
 - Apollo Emerging Markets Debt Advisors GP LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

- Apollo Emerging Markets Debt Advisors LP
 - Apollo Emerging Markets Debt Fund LP
 - Apollo Emerging Markets Debt Master Fund LP
- Apollo Total Return Advisors GP LLC
 - Apollo Total Return Advisors L.P.
 - Apollo Total Return (Onshore), L.P.
 - Apollo Total Return Fund (Exempt) LP
 - Apollo Total Return Master Fund LP
- Apollo Total Return Enhanced Advisors GP LLC
 - Apollo Total Return Enhanced Advisors LP
 - Apollo Total Return Fund Enhanced (Onshore) LP
 - Apollo Total Return Fund Enhanced (Exempt) LP
 - Apollo Total Return Master Fund Enhanced LP
- Apollo Tactical Value SPN Capital Management (APO DC-GP), LLC
 - Apollo Tactical Value SPN Advisors (APO DC), L.P.
 - Apollo Tactical Value SPN Investments, L.P.
- Apollo Moultrie Capital Management, LLC
 - Apollo Moultrie Credit Fund Advisors, L.P.
 - Apollo Moultrie Credit Fund, L.P.
- Apollo Europe Capital Management, Ltd.
 - Apollo Europe Advisors, L.P.
 - Apollo Investment Europe II, L.P.
- Apollo SK Strategic Advisors, LLC
 - Apollo SK Strategic Advisors, L.P.
 - Apollo SK Strategic Investments, L.P.
- Apollo Zeus Strategic Advisors, LLC
 - Apollo Zeus Strategic Advisors, L.P.
 - Apollo Zeus Strategic Investments, L.P.
- AES Advisors II GP, LLC
 - AES Advisors II, L.P.
 - AESI II, L.P.
- Apollo Thunder Advisors GP, Ltd.
 - Apollo Thunder Advisors, L.P.
 - Apollo Thunder Partners, L.P.
- Apollo EPF II Capital Management (APO DC-GP), LLC
 - Apollo EPF Advisors II (APO DC), L.P.
 - EPF II Team Carry Plan (APO DC), L.P.
 - Lapithus EPF II Team Carry Plan (APO DC), L.P.
 - Apollo EPF III Capital Management (APO DC-GP), LLC
 - Apollo EPF Advisors III (APO DC), L.P.
- RWNIH-ALL Advisors, LLC
 - Apollo SPN Capital Management (APO DC-GP), LLC
 - Apollo SPN Advisors (APO DC), L.P.
- Apollo ANRP Advisors III (P1 APO DC-GP), LLC
 - Apollo ANRP Advisors III (P1 APO DC), L.P.
 - Apollo Natural Resources Partners (P1) III, L.P.
- Apollo MidCap Holdings (Cayman) III GP, Ltd.
 - Apollo MidCap Holdings (Cayman) III, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- AOP Advisors GP, LLC
 - Apollo Origination Advisors, L.P.
 - AOP Co-Investors (B), L.P.
 - Apollo Origination Advisors (Lux) GP, S.a r.l.
 - Apollo Origination Partnership (Lux), SCSp
 - Apollo Origination Partnership (Overseas), L.P.
 - Apollo Origination Partnership, L.P.
- ASOP Advisors GP, LLC
 - Apollo Strategic Origination Advisors, L.P.
 - ASOP Account (M), L.P.
 - Apollo Strategic Origination Partners (AV), L.P.
 - Apollo Strategic Origination Partners, L.P.
- A-A Mortgage Opportunities GP, LLC
- APH IV Holdings - Wednesday Sub (Cayman), LLC
- Apollo Principal Holdings VI GP, LLC
 - Apollo Principal Holdings VI, L.P.
 - Apollo Credit Liquidity Investor, LLC
 - Apollo Credit Opportunity Co-Investors III (D) LLC
 - Apollo Structured Credit Recovery Co-Investors III (D) LLC
 - Apollo Advisors VII (APO DC-GP), LLC
 - Apollo ANRP Advisors (APO DC-GP), LLC
 - Apollo ANRP Advisors (APO DC), L.P.
 - Apollo Energy Opportunity Co-Investors (DC-D) LLC
 - Apollo Credit Short Opportunities Co-Investors (D), LLC
 - Apollo Emerging Markets Debt Co-Investors (D) GP LLC
 - Apollo Emerging Markets Debt Co-Investors (D) LP
 - Apollo Credit Income Co-Investors (D) LLC
 - Apollo U.S. Real Estate Advisors GP II, LLC
 - Apollo U.S. Real Estate Advisors II, L.P.
 - Apollo U.S. Real Estate Fund II, L.P.
 - Apollo U.S. Real Estate Partners II (TE) L.P.
 - Apollo USREF Co-Investors II (B), L.P.
 - AGRE U.S. Senior Living Advisors, L.P.
 - Bishop Senior Living Coinvest L.P.
 - AGRE MHC Coinvest L.P.
 - AGRE U.S. Real Estate Advisors GP, LLC
 - AGRE U.S. Real Estate Advisors, L.P.
 - AGRE U.S. Real Estate Fund, L.P.
 - APH Holdings (DC), L.P.
 - ACC Advisors D, LLC
 - Apollo Hybrid Value Advisors (APO DC-GP), LLC
 - Apollo Hybrid Value Advisors (APO DC), L.P.
 - Apollo Athene Strategic Partnership Advisors, LLC
 - Apollo Athene Strategic Partnership, L.P.
 - Apollo Infra Equity Advisors (APO DC-GP), LLC
 - Apollo Infra Equity Advisors (APO DC), L.P.
 - Apollo Infra Equity US Fund, L.P.
 - Apollo Infra Equity Feeder Fund (Non-US), L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Apollo Infra Equity Feeder Fund (TE), L.P.
Apollo Infra Equity Feeder Fund (TEUP), L.P.
Apollo Infra Equity Advisors (APO DC UT), L.P.
AAA Associates (Co-Invest VII GP), Ltd.
AAA Associates (Co-Invest VII), L.P.
Athene Momentum Investment Advisors GP, LLC
Athene Momentum Investment Advisors, L.P.
Athene Momentum Investor, L.P.
Apollo Advisors VIII (APO DC-GP), LLC
Apollo Advisors VIII (APO DC), L.P.
Apollo ANRP Advisors II (APO DC-GP), LLC
Apollo ANRP Advisors II (APO DC), L.P.
AGRE GP Holdings, LLC
CPI 2005 Asia Pacific Carried Interest Program, L.P.
CPI 2005 Global Carried Interest Program, L.P.
CPI Capital Partners Asia Pacific GP, Ltd.
CPI Asia G-Fdr General Partner GmbH
CPI Capital Partners Asia Pacific, L.P.
CPI Capital Partners Asia Pacific (Cayman), L.P.
CPI Capital Partners Asia Pacific (Delaware), L.P.
CPI CP Asia TE, L.P.
CPI 2005 European Carried Interest Program, L.P.
CPI European Carried Interest, L.P.
CPI European Fund GP LLC
CPI Capital Partners Europe, L.P.
CPI Capital Partners Europe (NFR), L.P.
CPI Capital Partners Europe (Delaware), L.P.
CPI Capital Partners Europe GP Ltd.
CPI Capital Partners Europe (Cayman), L.P.
CPI CCP EU-T Scots LP
CPI 2005 North America Carried Interest Program, L.P.
CPI NA GP LLC
CPI NA WT Fund GP LP
CPI NA Fund GP LP
Apollo Royalties Advisors I GP, LLC
Apollo Royalties Advisors I, L.P.
Apollo Royalties Feeder Fund I, L.P.
Apollo Royalties Fund I, L.P.
Apollo Royalties Feeder Fund I-L, L.P.
Apollo Navigator Advisors I (APO DC-GP), LLC
Apollo AGRE USREF Co-Investors (B), LLC
Apollo Infrastructure Opportunities Advisors II GP, LLC
Apollo Infrastructure Opportunities Advisors II, L.P.
Apollo Infrastructure Opportunities Fund II, L.P.
Apollo Infrastructure Opportunities Fund II (TE 892), L.P.
Apollo Infrastructure Opportunities Fund II (Overseas), L.P.
Apollo Infrastructure Opportunities Fund II (Lux) GP, S.a r.l.
Apollo Infrastructure Opportunities Fund II (Lux), SCSp

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

AIOF II Grid Aggregator, L.P.
AIOF II Njord Debt Aggregator, L.P.
AIOF II Thor Co-Invest GP, LLC
AIOF II Thor Co-Invest Feeder (FC), L.P.
AIOF II Thor Co-Invest Feeder (DC), L.P.
AIOF II Thor Aggregator, L.P.
APH VI Holdings - Wednesday Sub (Cayman), LLC
Apollo Tundra Advisors GP, LLC
Apollo Freedom Advisors GP LLC
Apollo Freedom Advisors, LP
Freedom Parent LP
Apollo Pencil Advisors GP, LLC
Apollo Principal Holdings VIII GP, Ltd.
Apollo Principal Holdings VIII, L.P.
Apollo Zeus Strategic Co-Investors (DC-D), LLC
Apollo SK Strategic Co-Investors (DC-D), LLC
Apollo Total Return Co-Investors (D) GP LLC
Apollo Total Return Co-Investors (D) LP
APH HFA Holdings GP, Ltd.
APH HFA Holdings, L.P.
AGRE Asia Pacific Real Estate Advisors GP, Ltd.
AGRE Asia Pacific Real Estate Advisors, L.P.
APH Holdings (DC), L.P.
Athene Holding Ltd.
Athene Life Re International Ltd.
Athene Bermuda Employee Company Ltd.
Athene IP Holding Ltd.
Athene IP Development Limited
Athene North Employment Service Corporation
Athene Life Re Ltd.
Athene Co-Invest Reinsurance Affiliate 1A Ltd.
Athene Co-Invest Reinsurance Affiliate International Ltd.
Athene Co-Invest Reinsurance Affiliate LP
Athene Co-Invest Reinsurance Affiliate 1B Ltd.
Athene AOG Holding I Ltd.
Athene AOG Holding II LLC
ADIP (Athene) Carry Plan, L.P
A-A Onshore Fund, LLC
Athene USA Corporation
Athene Annuity Re Ltd.
AARE Structured Holdings LLC
Athene Employee Services LLC
ACM Trademarks, L.L.C.
ARPH (Headquarters Building), LLC
Athene Assignment Corporation
Athene London Assignment Corporation
A-A Onshore Fund, LLC
Athene Noctua, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Athene Re Services, LLC
Athene Risk Aggregator, LLC
Athene Annuity & Life Assurance Company
AADE RML, LLC
P.L. Assigned Services, Inc.
Athene Annuity and Life Company
AAIA RML 3S26 MASSEY FORD, LLC
AAIA RML, LLC
AREI (Interpark), LLC
AREI (BLM-NV), LLC
AREI (US Forest-WY), LLC
AREI (Norwood-TX), LLC
AREI (CBP), LLC
Centralife Annuities Service, Inc.
Athene Annuity & Life Assurance Company of New York
Athene Life Insurance Company of New York
Structured Annuity Reinsurance Company
Athene Re USA IV, Inc.
Athene Securities, LLC
Rosencrantz Depositor, LLC
Athora Holding Ltd.
Athora UK Services Ltd.
Athora Ireland Services Limited
Athora Bermuda Services Ltd.
Athora IP Company Ltd.
Athora MEP Ltd.
Athora Europe Holding (Bermuda) Ltd.
Athora Europe Holding Limited
Generali Belgium S.A./N.V.
Groupe GVA-BC Assurances S.A.
Dedale S.A./N.V.
Generali Real Estate Investment B.V.
Verzekeringskantoor Soenen S.A./N.V.
Webbroker S.A./N.V.
Athora Life Re Ltd.
Athora Ireland plc
Athora Lux Invest S.C.Sp
Athora Europe Investments DAC
Athora Lux Invest S.C.Sp
Athora Deutschland Verwaltungs GmbH
Athora Deutschland Holding GmbH & Co. KG
Athora Deutschland GmbH
Athora Pensionkasse AG
Athora Deutschland Service GmbH
Athora Lux Invest Management S.a.r.l.
Athora Lux Invest SCSp
Athora Real Estate Finance GP, LLC
Athora Real Estate Finance, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Athora US Invest (AH), LLC
Athora HD Investors, L.P.
Athora Lebensversicherung AG
Athora Real Estate (Lux) S.C.Sp
Athora Real Estate (Lux) Services S.a.r.l.
Athora Real Estate S.a.r.l.
APH VIII Holdings - Wednesday Sub (Cayman), LLC
AMH Holdings GP, Ltd.
AMH Holdings (Cayman), L.P
AMH Holdings - Wednesday Sub (Cayman), LLC
Apollo Management Holdings GP, LLC
Apollo Management Holdings, L.P.
Apollo CERPI Management LLC
Apollo Management GP, LLC
Apollo Management, L.P.
Apollo ANRP Management III, LLC
AIF III Management, LLC
Apollo Management III, L.P.
Apollo Management IV, L.P.
AIF V Management, LLC
Apollo Management V, L.P.
AIF VI Management, LLC
Apollo Management VI, L.P.
AIF VII Management, LLC
Apollo Management VII, L.P.
Apollo Management (AOP) VII, LLC
AIF VIII Management, LLC
Apollo Management VIII, L.P.
Apollo Uniform GP, LLC
OMH Holdings, L.P.
OneMain Holdings, Inc.
OneMain Finance Corporation
Springleaf Financial Center Thrift Company
Springleaf Branch Holding Company
MorEquity, Inc.
Wilmington Finance, Inc.
Springleaf Financial Funding II Holding Company
Springleaf Financial Funding Company II
Springleaf Financial Funding Company
Springleaf Finance Commercial Corp.
Springleaf Finance Foundation, Inc.
CommoLoCo, Inc.
CREDITTHRIFT of Puerto Rico, Inc.
Springleaf Financial Cash Services, Inc.
Springleaf Asset Holding II, Inc.
Springleaf Acquisition Corporation
SpringCastle Holdings, LLC
OneMain General Services Corporation

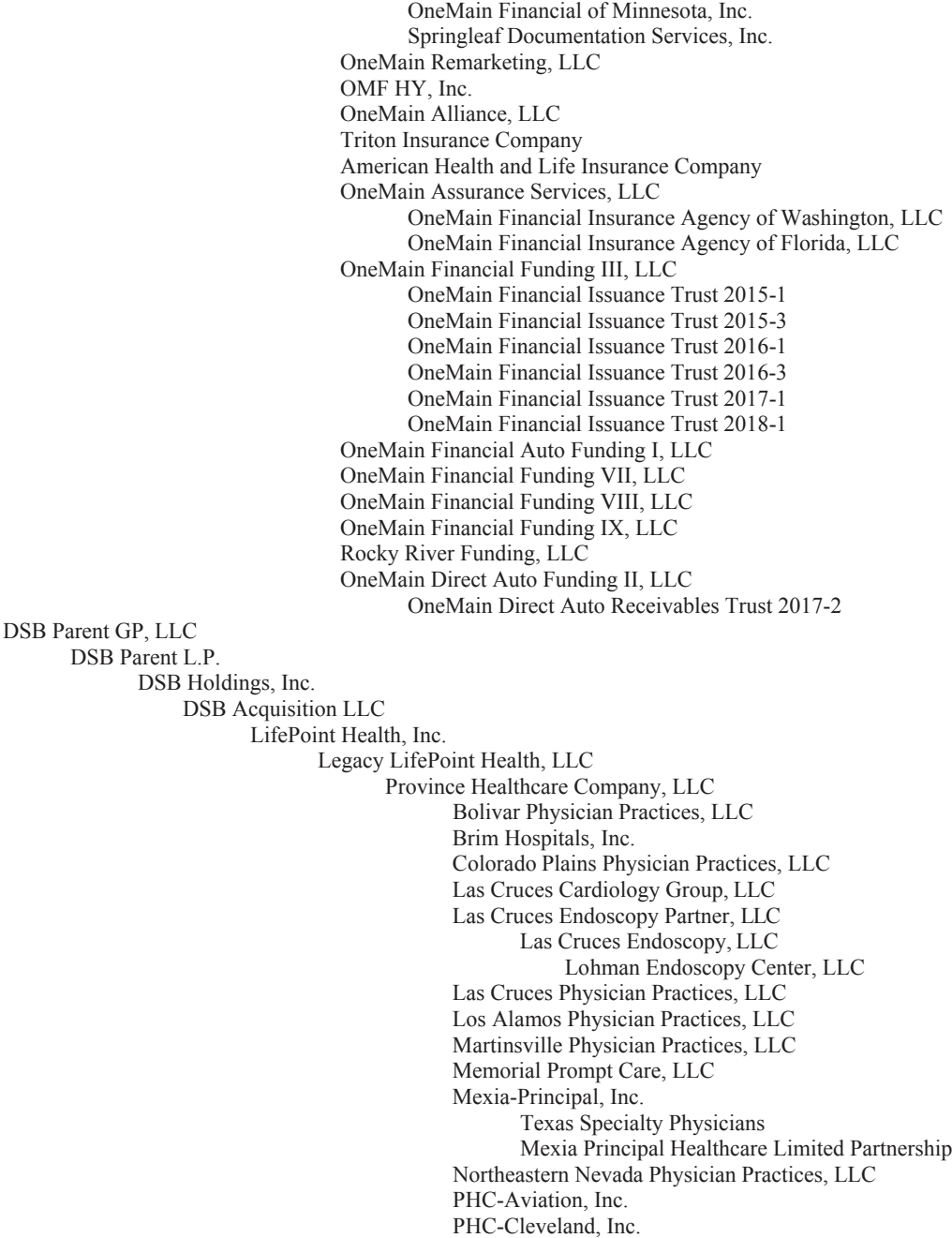
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

- Springleaf Properties, Inc.
- Springleaf Mortgage Holding Company
 - OneMain Mortgage Services, Inc.
 - Springleaf Mortgage Management Corporation
- Springleaf Consumer Loan Holding Company
 - OneMain Consumer Loan, Inc.
 - Springleaf Consumer Loan of Pennsylvania, Inc.
 - Springleaf Consumer Loan of West Virginia, Inc.
 - Springleaf Consumer Loan Management Corporation
- OneMain Direct Auto Funding, LLC
 - OneMain Direct Auto Receivables Trust 2017-1
 - OneMain Direct Auto Receivables Trust 2018-1
 - OneMain Direct Auto Receivables Trust 2019-1
- Springleaf Funding I, LLC
 - Springleaf Funding Trust 2016-A
 - Springleaf Funding Trust 2017-A
- Twenty-Third Street Funding LLC
 - Springleaf Funding Trust 2015-B
- Springleaf Depositor LLC
 - OneMain Financial Issuance Trust 2019-A
- Springleaf Funding II, LLC
 - OneMain Financial Issuance Trust 2018-2
 - OneMain Financial Issuance Trust 2019-1
 - OneMain Financial Issuance Trust 2019-2
 - OneMain Financial Issuance Trust 2020-1 (DE)
 - OneMain Financial Issuance Trust 2020-2
- AGFC Capital Trust I
- Third Street Funding LLC
- Springleaf Asset Holding, Inc.
- Sixth Street Funding LLC
- Second Street Funding Corporation
 - American General Mortgage Loan Trust 2006-1 (CL Trust)
- Springleaf Asset Holdings, LLC
- Springleaf Financial Asset Holdings, LLC
- Mystic River Funding, LLC
- Hubbard River Funding, LLC
- Seine River Funding, LLC
- New River Funding, LLC
- New River Funding Trust (DE)
- Hudson River Funding, LLC
- Columbia River Funding, LLC
- St. Lawrence River Funding, LLC
- Fourth Avenue Auto Funding LLC
- Thayer Brook Funding, LLC
- OneMain Financial Holdings, LLC
 - OneMain Financial (HI), Inc.
 - OneMain Financial Group, LLC
 - OneMain Financial, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

- PHC-Elko, Inc.
- PHC-Fort Mohave, Inc.
- PHC-Fort Morgan, Inc.
- PHC-Lake Havasu, Inc.
 - Havasu Regional Medical Center, LLC
 - HRMC, LLC
- PHC-Lakewood, Inc
 - PHC-Morgan City, L.P.
- PHC-Las Cruces, Inc.
- PHC-Los Alamos, Inc.
- PHC-Minden G.P., Inc
 - PHC-Minden, LLC
- PHC-Morgan Lake, Inc.
 - PHC-Morgan City, L.P.
- PHC-Selma, LLC
- PHC-Tennessee, Inc.
- PRHC-Alabama, LLC
 - Vaughan Physician Practices, LLC
 - Vaughan Regional Medical Center, LLC
 - Vaughan Regional Anesthesia, LLC
- PRHC-Ennis G.P., Inc.
 - PRHC-Ennis, L.P.
- Principal Hospital Company of Nevada, LLC
 - Partnership Mexia Principal Healthcare Limited Partnership
 - PRHC-Ennis, L.P.
- Principal-Needles, Inc.
- Teche Regional Physician Practices, LLC
- Valley View Physician Practices, LLC
- Historic LifePoint Hospitals, LLC
 - LifePoint Hospitals Holdings, LLC
 - AdvantagePoint Health Alliance, LLC
 - AdvantagePoint Health Alliance – Blue Ridge, LLC
 - AdvantagePoint Health Alliance – Bluegrass, LLC
 - AdvantagePoint Health Alliance – Mesilla Valley, LLC
 - AdvantagePoint Health Alliance – Tennessee Valley, LLC
 - AdvantagePoint Health Alliance – Great Lakes, LLC
 - AdvantagePoint Health Alliance – Laurel Highlands, LLC
 - AdvantagePoint Health Alliance – Northwest, LLC
 - AdvantagePoint Health Alliance – Western North Carolina, LLC
 - Ashley Valley Medical Center, LLC
 - Ashley Valley Physician Practice, LLC
 - Castleview Medical, LLC
 - Castleview Hospital, LLC
 - Castleview Physician Practice, LLC
 - HealthyHub, LLC
 - Home Health Partner, LLC
 - HSC Credentialing Support Services, LLC
 - HSCGP, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- LifePoint Corporate Services, General Partnership
 - America Management Companies, LLC
 - LifePoint Billing Services, LLC
- LifePoint CSLP, LLC
 - LifePoint Corporate Services, General Partnership
- LifePoint Holdings 2, LLC
 - AccessPoint, LLC
 - Acquisition Bell Hospital, LLC
 - Upper Peninsula Health Plan, LLC
 - Upper Peninsula Managed Care, LLC
 - AMG-Crockett, LLC
 - AMG-Livingston, LLC
 - AMG-Logan, LLC
 - AMG-Southern Tennessee, LLC
 - AMG-Trinity, LLC
 - Andalusia Physician Practices, LLC
 - Andalusia Professional Services, LLC
 - Athens Physicians Practice, LLC
 - Athens Regional Medical Center, LLC
 - Athens Surgery Center Partner, LLC
 - Athens Surgery Center, LLC
 - Bell JV, LLC
 - Bell Physician Practices, Inc.
 - Clark Regional Physician Practices, LLC
 - Community Hospital of Andalusia, LLC
 - Community Medical, LLC
 - Community-Based Services, LLC
 - Crockett Hospital, LLC
 - Crockett PHO, LLC
 - Danville Diagnostic Imaging Center, LLC
 - Danville Physician Practices, LLC
 - Danville Regional Medical Center, LLC
 - Danville Regional Medical Center School of Health Professions, LLC
 - Gateway Health Alliance, Inc.
 - Memorial Hospital of Martinsville & Henry County Ambulatory Surgery Center, LLC
 - Two Rivers Physician Practices, LLC
- DLP Partner, LLC
 - DLP Healthcare, LLC
 - DLP Marquette Holding Company, LLC
 - DLP Marquette Health Plan, LLC
 - Upper Peninsula Health Plan, LLC
 - Upper Peninsula Managed Care, LLC
 - DLP Marquette General Hospital, LLC
 - DLP Marquette JV, LLC
 - U.P. Imaging Management Services, LLC
 - DLP Marquette Physician Practices, Inc.
 - DLP Cardiac Partners, LLC
 - DLP Central NC Holding Company, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- DLP Central Carolina Medical Center, LLC
 - DLP Central Carolina Family Medicine, LLC
 - DLP Central Carolina Medical Group, LLC
 - DLP Central Carolina Physician Practices, LLC
- DLP Central NC JV, LLC
 - Guardian Health Service, L.L.C.
- DLP Frye Regional Medical Center, LLC
 - DLP Cardiology Associates, LLC
 - DLP Cardiology Physicians, LLC
 - DLP Frye Medical Group, LLC
 - DLP Frye Regional Physician Practices, LLC
 - DLP Graystone Family Practice Associates, LLC
 - DLP Hickory Family Practice Associates, LLC
- DLP Good Shepherd Holding Company, LLC
 - DLP Good Shepherd JV, LLC
 - DLP Gregg County Hospital, LLC
 - DLP Marshall Medical Center, LLC
- DLP Harris JV, LLC
- DLP Harris Regional Hospital, LLC
 - DLP Western Carolina Physician Practices, LLC
- DLP Haywood Regional Medical Center, LLC
- DLP Maria Parham Medical Center, LLC
 - DLP Maria Parham Physician Practices, LLC
- DLP Person Memorial Hospital, LLC
 - DLP Person Physician Practices, LLC
 - DLP Person Urgent Care, LLC
- DLP Rutherford Regional Health System, LLC
 - DLP Rutherford Physician Practices, LLC
- DLP Swain County Hospital, LLC
- DLP Twin County Holding Company, LLC
 - DLP Twin County Physician Practices, LLC
 - DLP Twin County Regional Healthcare, LLC
- DLP Wilson Holding Company, LLC
 - DLP WilMed Nursing Care and Rehabilitation Center, LLC
 - DLP Wilson Medical Center, LLC
 - DLP Wilson Physician Practices, LLC
- DLP Partner Central Carolina, LLC
- DLP Partner Conemaugh, LLC
- DLP Partner Frye, LLC
- DLP Partner Marquette, LLC
- DLP Partner MedWest, LLC
- DLP Partner Twin County, LLC
- DLP Partner Wilson Rutherford, LLC
- Fauquier Partner, LLC
 - Fauquier Holding Company, LLC
 - Fauquier Diagnostic Imaging Center, LLC
 - Fauquier Long-Term Care, LLC
 - Fauquier Medical Center, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- Fauquier Physician Practices, LLC
- Georgetown Rehabilitation, LLC
- HDP Andalusia, LLC
- HDP Georgetown, LLC
- Hillside Hospital, LLC
- HSC Manager, LLC
- Kansas Healthcare Management Company, Inc.
 - Kansas Healthcare Management Services, LLC
- Kansas Healthcare Management Services, LLC
- Kentucky Hospital, LLC
- Kentucky Medserv, LLC
- Kentucky Physician Services, Inc. LHSC, LLC
- LifePoint Medical Group – Hillside, Inc.
 - AMG-Hillside, LLC
- LifePoint of Kentucky, LLC
 - Bourbon Community Hospital, LLC
 - Bourbon Physician Practice, LLC
 - Buffalo Trace Radiation Oncology Associates, LLC
 - Fleming Medical Center, LLC
 - Georgetown Community Hospital, LLC
 - HCK Logan Memorial, LLC
 - Kentucky MSO, LLC
 - Logan Memorial Hospital, LLC
 - Meadowview Physician Practice, LLC
 - PineLake Physician Practice, LLC
 - PineLake Regional Hospital, LLC
 - R. Kendall Brown Practice, LLC
 - Silechnik Practice, LLC
 - Woodford Hospital, LLC
 - Logan Physician Practice, LLC
- LifePoint of Lake Cumberland, LLC
 - LCMC PET, LLC
 - Somerset Surgery Partner, LLC
 - Lake Cumberland Surgery Center, LP
 - Lake Cumberland Cardiology Associates, LLC
 - Lake Cumberland Physician Practices, LLC
 - LCMC MRI, LLC
 - Lake Cumberland Regional Hospital, LLC
 - Lake Cumberland Regional Physician Hospital Organization, LLC
- LifePoint RC, Inc.
- Livingston Regional Hospital, LLC
- Logan Medical, LLC
- Meadowview Rights, LLC
- Northwest Medical Center-Winfield, LLC
- Norton Partner, LLC
 - The Regional Health Network of Kentucky and Southern Indiana, LLC
 - RHN Clark Memorial Hospital, LLC
 - RHN Clark Memorial Physician Practices, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

RHN Scott Memorial Hospital, LLC
RHN Scott Physician Practices, LLC
NWMC-Winfield Hospitalist Physicians, LLC
NWMC-Winfield Physician Practices, LLC
OmniPoint Surgical Associates, LLC
Piedmont Partner, LLC
Portage Holding Company, LLC
PH Copper Country Apothecaries, LLC
Portage Hospital, LLC
Portage Calumet MOB, LLC
Portage JV, LLC
Ontonagon Community Health Center, Inc.
Upper Peninsula Health Plan, LLC
Upper Peninsula Managed Care, LLC
Portage Physician Practices, Inc.
Portage Partner, LLC
Professional Billing Services, LLC
Providence Holding Company, LLC
Providence Group Practices, LLC
Providence Group Practices II, LLC
Providence Hospital, LLC
PERS Legacy, LLC
Providence Imaging Center, LLC
Providence Professional Services, LLC
Providence Physician Practices, LLC
River Parishes Hospital, LLC
River Parishes Partner, LLC
River Parishes Physician Practices, LLC
Riverview Medical Center, LLC
Riverview Physician Practices, LLC
Rockdale Clinically Integrated Medical Care Organization, LLC
Rockdale Hospital, LLC
Rockdale Physician Practices, LLC
Smith County Memorial Hospital, LLC
Southern Tennessee EMS, LLC
Southern Tennessee Medical Center, LLC
Southern Tennessee PHO, LLC
Spring View Hospital, LLC
Spring View Physician Practices, LLC
Springhill Medical Center, LLC
St. Francis Propco, LLC
Sumner Partner, LLC
Sumner Ambulatory Surgery Center, LLC
Sumner Physician Practices, LLC
Sumner Real Estate Holdings, LLC
Sumner Regional Medical Center, LLC
SST Community Health, L.L.C.
THM Physician Practice, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- Trousdale Medical Center, LLC
- Trousdale Physician Practices, LLC
- Ville Platte Medical Center, LLC
- Watertown Partner, LLC
 - Watertown Holding Company, LLC
 - Watertown JV, LLC
 - Watertown Network, LLC
 - Watertown Medical Center, LLC
 - Watertown Physician Practices, LLC
 - Wythe County Community Hospital, LLC
 - Wythe County Physician Practices, LLC
 - LifePoint NMTC, LLC
 - LifePoint PSO, LLC
 - Logan General Hospital, LLC
 - Logan Healthcare Partner, LLC
 - My HealthPoint, LLC
 - Poitras Practice, LLC
 - Riverton Oncology Practice, LLC
 - Shared Business Services, LLC
 - LifePoint Acquisition Corp.
 - LifePoint VA Holdings, Inc.
 - Clinch Professional Physician Services, LLC
 - Clinch Valley Medical Center, Inc.
 - Clinch Valley Physicians Associates, LLC
 - Clinch Valley Pulmonology, LLC
 - Clinch Valley Urology, LLC
 - Orthopedics of Southwest Virginia, LLC
 - LifePoint WV Holdings, Inc.
 - Raleigh General Hospital, LLC
 - West Virginia Management Services Organization, Inc.
 - Lima HoldCo, LLC
 - DLP Lima Partner, LLC
 - DLP Conemaugh Holding Company, LLC
 - DLP Conemaugh Memorial Medical Center, LLC
 - DLP Conemaugh Miners Medical Center, LLC
 - DLP Conemaugh Meyersdale Medical Center, LLC
 - DLP Conemaugh Physician Practices, LLC
 - DLP Conemaugh JV, LLC
 - Lander Valley Physician Practices, LLC
 - Lawton Holdings, LLC
 - Lawton Surgery Investment Company, LLC
 - Southwestern Medical Center, LLC
 - Southwestern Neurosurgery Physicians, LLC
 - Southwestern Physician Services, LLC
 - Southwestern Radiology Affiliates, LLC
 - Southwestern Surgical Affiliates, LLC
 - Nason Medical Center, LLC
 - Nason Physician Practices, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

- Palestine-Principal G.P., Inc.
 - Palestine Principal Healthcare Limited Partnership
- RCHP-Ottumwa Holdings, Inc.
 - Ottumwa Physicians, LLC
 - Ottumwa ER, LLC
 - Ottumwa Health Group, LLC
 - RCHP-Ottumwa, LLC
 - Collaborative Laboratory Services, L.L.C.
 - RRL Ottumwa, LLC
 - Riverton Memorial Hospital, LLC
 - Riverton Physician Practices, LLC
 - Western Plains Regional Hospital, LLC
 - Dodge City Healthcare Group, LLC
 - Western Plains Physician Practices, LLC
- Lima HoldCo Parent, LLC
- Capella Health Holdings, LLC
 - Capella Holdings, LLC
 - Capella Healthcare, LLC
 - Capella DISCO, LLC
 - Carolina Pines Holdings, LLC
 - Hartsville Medical Group, LLC
 - Hartsville, LLC
 - Kershaw Health Holdings, LLC
 - Kershaw Anesthesia, LLC
 - Kershaw Clinics, LLC
 - Kershaw Hospital, LLC
 - KershawHealth Ambulatory Surgery Center, LLC
 - KershawHealth Cancer Center, LLC
 - Midlands HealthOne Network, LLC
 - Muskogee Holdings, LLC
 - Capella Holdings of Oklahoma, LLC
 - Muskogee Medical and Surgical Associates, LLC
 - Muskogee Physician Group, LLC
 - Muskogee Regional Medical Center, LLC
 - Providence MRI Associates, L.L.C.
 - Providence Radiologic Services, L.C.
 - NPMC Holdings, LLC
 - Arkansas Healthcare Services, LLC
 - Hot Springs Imaging Center, LLC
 - Hot Springs National Park Hospital Holdings, LLC
 - National Park Real Property, LLC
 - National Park Cardiology Services, LLC
 - National Park Endoscopy Center, LLC
 - National Park Family Care, LLC
 - National Park GI Services, LLC
 - NPMC, LLC
 - Oregon Healthcorp, LLC
 - McMinnville Immediate Health Care, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- Willamette Valley Clinics, LLC
- Willamette Valley Health Solutions, LLC
- Willamette Valley Medical Center, LLC
- RCCH PMDS, LLC
- RCCH Washington Holdings, LLC
- CMCH Holdings, LLC
 - Capital Medical Center Holdings, LLC
 - Capital Medical Center Specialty Physicians, LLC
 - Capital Medical Center Partner, LLC
 - Columbia Capital Medical Center Limited Partnership
 - Capital Medical Center Physicians, LLC
 - Capital Medical Center Specialty Physicians, LLC
 - NW Momentum Health Partners ACO, LLC
 - Columbia Olympia Management, Inc.
 - Columbia Capital Medical Center Limited Partnership
 - WPC Holdeo, LLC
 - Columbia Capital Medical Center Limited Partnership
 - Capital Medical Center Physicians, LLC
 - Capital Medical Health Solutions, LLC
- Lourdes Holdings, LLC
 - Lourdes Hospital, LLC
 - Lourdes Physician Services, LLC
- PNWCIN LLC
- RCCH-Northwest, LLC
 - RCCH-UW Medicine Healthcare Holdings, LLC
 - CCMC Holdeo, LLC
 - RCCH Trios Health Holdings, LLC
 - RCCH Trios Health, LLC
 - High Desert Surgery Center, L.L.C.
 - RCCH Trios Physicians, LLC
- Saline County Hospital, LLC
- St. Joseph Holdings, LLC
 - St. Joseph Hospital, LLC
 - SJRMC Interventional Radiology Services, LLC
 - St. Joseph Physician Services, LLC
- St. Mary’s Holdings, LLC
 - Russellville Holdings, LLC
 - Saint Mary’s Primary Care Network, LLC
 - St. Mary’s Physician Services, LLC
 - St. Mary’s Specialty, LLC
- Saline County Medical Center Joint Venture, LLC
 - Saline Clinics, LLC
 - Saline Hospital, LLC
- RCHP, LLC
 - Point of Life Indemnity, LTD.
 - RCHP Management Company, Inc.
 - RegionalCare Hospital Partners, LLC
 - Essent Healthcare, Inc.